

Study of Sterilization and Disinfection in Room Air by Using Atmospheric Microplasma

Kazuo Shimizu*, Yuki Komuro, Shigeki Tatematsu and Marius Blajan

Innovation and Joint Research Center, Shizuoka University, Japan

Abstract

An effective and economical sterilization method is required due to the increase of the health consciousness in living spaces in the recent years, especially in the hospital, the airborne bacteria, and the surface colonized bacteria are the serious problem known as the hospital-acquired infection. Non-thermal plasma sterilization received a lot of attention as a replacement of traditional sterilization methods. Microplasma, which is atmospheric pressure non-thermal plasma, has been studied for application in various fields. It is a dielectric barrier discharge and has many advantages over other types of non-thermal plasmas, and is generated at atmospheric pressure thus does not require costly vacuum enclosures; the discharge voltage is about 600 V to 1.5 kV and discharge gap is only 10 to 100 μm . Microplasma is suitable for applications not only indoor air cleaning, odor control, but also surface treatment or medical field because of the above mentioned advantages.

We investigated the remote sterilization effect and sterilization process for airborne bacteria by using atmospheric microplasma. Gram-negative bacteria *Escherichia coli* and Gram-positive bacteria *Alicyclobacillus* were the target to be sterilized in this study.

The experiment was performed with air and Ar as the process gases, to confirm the influence of different radical species in the microplasma, on the bacteria cultures. The process gas flows through the parallel plate electrodes with holes which were covered with dielectric material, and energized at about 600 V~1.5 kV. Sterilization and disinfection was by microplasma carried out for both Gram-negative bacteria and Gram-positive bacteria, and resulted successfully.

Keywords: Atmospheric microplasma; Sterilization; Disinfection; Airborne bacteria; Surface colonized bacteria

Background of Nonthermal Plasma for Sterilization and Disinfection

Infective diseases such as new influenza strains caused by pathogenic organisms have been spread worldwide [1,2]. Recently this causes serious problems in schools and a lack of vaccines in many countries [3,4].

An effective and economical sterilization method is required due to the increase of the health consciousness in living spaces in the recent years, especially in the hospital. The airborne bacteria, and the surface colonized bacteria are the serious problem known as the hospital-acquired infection.

Nonthermal plasma sterilization received a lot of attention as a replacement of traditional sterilization methods. Active species are easily generated by non-thermal plasma and therefore used as a sterilization or inactivation tool for bacteria or bacteriophage [5-11]. It is expected to be alternatives to the other simple plasma techniques to purify indoor air.

These nonthermal plasma techniques were carried out with rather "high voltage" region (5-15 kV, sometimes more than 20 kV). In this article, a technique for indoor air control by microplasma will be introduced. We investigated the sterilization or disinfection and its sterilization process for the airborne bacteria, and the surface colonized bacteria by using atmospheric microplasma [12-17].

Microplasma, which is atmospheric pressure nonthermal plasma, has been studied for application in various fields. It is a dielectric barrier discharge and has many advantages over other types of non-thermal plasmas, and is generated at atmospheric pressure thus does not

require costly vacuum enclosures; the discharge voltage is about 600V to 1.5 kV and discharge gap is only 10 to 100 μm , therefore a small and inexpensive power supply is necessary for obtaining a high intensity electric field. Operation frequency depends on the power supply; few kHz to tens of kHz would be suitable for power supply without any heat problem. Microplasma could apply for various applications not only indoor air cleaning, odor control, but also surface treatment or medical field because of the above mentioned advantages [18-21].

About Microplasma

Our atmospheric microplasma is a type of dielectric barrier discharge (DBD) [22-24]. There are various types of microplasma. A classification could be established considering the generation method [25]: DC and hollow cathode discharge [26], dielectric barrier discharge [27], coronas [28], RF capacitively coupled [29], RF inductively coupled [30] and microwave microplasma [31]. The discharge gap is set to an order of micrometers which is extremely narrow, enabling the plasma to generate at a discharge voltage of around 600 V. Streamers between the electrodes have also small diameters (in the order of micrometers), resulting in a relatively compact and dense plasma.

*Corresponding author: Prof. Kazuo Shimizu, Innovation and Joint Research Center, Shizuoka University, Japan, E-mail: shimizu@cjr.shizuoka.ac.jp

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Figure 1(a) is an image of the microplasma during discharge. Streamers were generated not only between the electrodes but also around the holes of the electrodes. Figure 1(b) and 1(c) shows the streamers generated between the electrode which gap was set at 30 μm and 100 μm . The diameter of generated streamers were 1/10 to 1/100 of wide gap (1 to 10 mm) dielectric barrier discharge [32].

Experimental Setup

Microplasma electrode and the reactor

Discharge gap was set based on Paschens law, which indicates the minimum sparking voltage and discharge gap for various gases at atmospheric pressure. High reduced electric fields were readily obtainable with such small discharge gaps, resulting in a reduction of low energy electrons (1-2 eV), which dissociate ozone [33,34]. This microplasma electrode has the advantage of generating a high concentration of ozone with low discharge voltage and power.

Figure 2 shows the schematic image of microplasma electrodes for sterilization and disinfection of surface colonized bacteria. The electrodes were faced together with a spacer (thickness 100 μm , aperture area 100 mm^2) in between. Due to small discharge gaps (0~100 μm) and to the assumed specific dielectric constant of 10^4 , a high intensity electric field ($10^7\sim 10^8$ V/m) could be obtained with relatively low discharge voltages around 1 kV. Streamers were generated between the electrodes as shown in Figure 1(b) and 1(c), which generate various radicals and ions that could affect a target surface [18,19,21].

Figure 3 shows the experimental setup for sterilization or

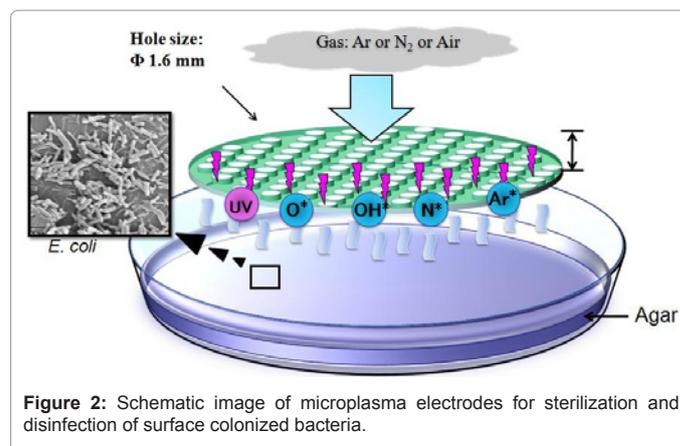


Figure 2: Schematic image of microplasma electrodes for sterilization and disinfection of surface colonized bacteria.

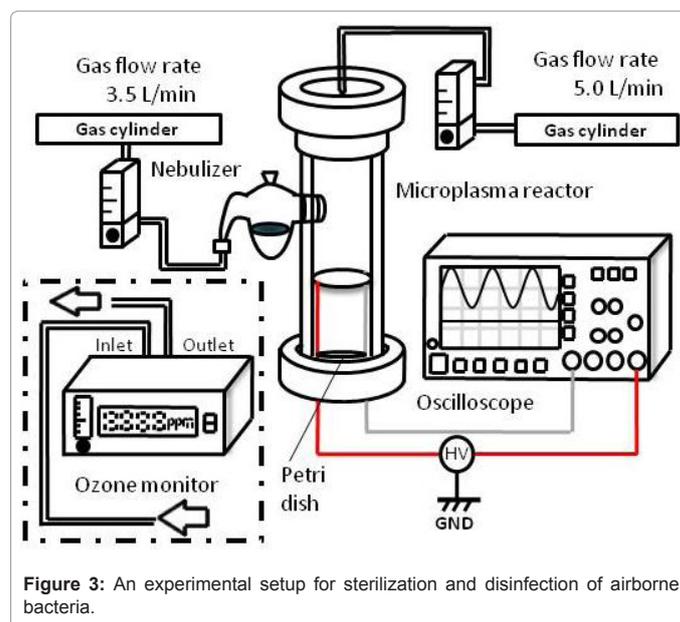


Figure 3: An experimental setup for sterilization and disinfection of airborne bacteria.

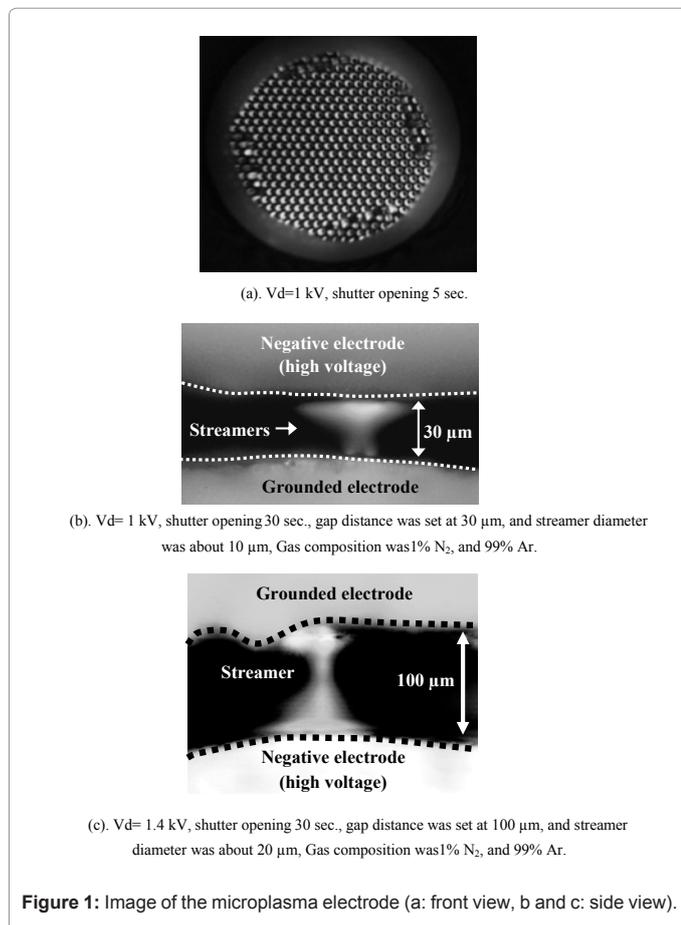


Figure 1: Image of the microplasma electrode (a: front view, b and c: side view).

disinfection of the airborne bacteria. *Escherichia coli* JCM20135 and *Bacillus subtilis* JCB20036 were employed as the target to be sterilized. Preserved colonies of both *E.coli* JCM20135 and *B. subtilis* JCB20036 both maintained on agar at 2° C were diluted in liquid medium (Peptone from casein 5.0 g/L, Yeast extract 2.5 g/L, Glucose 1.0 g/L), (1 colony in 5 mL). The liquid culture medium was introduced in the microplasma reactor and sprayed at a gas flow rate of 3.5 L/min by use of a medical nebulizer, through the electrode against a Petri dish with culture medium. Air or nitrogen was also introduced as carrier gas to the reactor at a gas flow rate of 5 L/min. After microplasma treatment, Petri dishes were incubated 15 hours in the incubator at 37 degrees Celsius for *E.coli* JCM20135, and 18 hours at 30 °C for *B. subtilis* JCB20036. Sterilization effect of microplasma was inspected by comparing the number of colonies with and without microplasma treatment.

The sterilization and disinfection experimental setup for surface colonized bacteria is shown in Figure 4. *Escherichia coli* JM109 and *Allicyclobacillus* were employed as the target to be sterilized.

Preserved colonies of *E.coli* JM109 maintained on agar at 2 °C were diluted in liquid medium (Peptone from casein 5.0 g/L, Yeast extract

2.5 g/L, Glucose 1.0 g/L) with 5 μ l of ampicillin (\times 1,000 stock), (1 colony in 5 mL). The 40 μ l of suspension was applied to agar medium (MERCK, Casein-peptone glucose yeast exact agar) with ampicillin 1 μ l/1 ml). Ampicillin was added for preventing contamination. Preserved colonies of *Alicyclobacillus* maintained on agar at 2°C were diluted in liquid medium (NBRC, Growth medium No. 323) (1 colony in 5 mL). The 40 μ l of suspension was applied to agar medium (NBRC, Growth medium No. 323).

The experiments were performed with Ar and Air as the process gases. Process gases were flowed into the reactor from the top and flow through the holes of electrodes. The electrodes were attached to a glass pipe and inserted in to an acrylic pipe in order to keep a controlled gas composition around the electrodes and target to be sterilized. A Petri dish was set on the stage under the electrodes and exposed to remote microplasma.

After microplasma treatment, Petri dishes were incubated 16 hours in the incubator at 37°C for *E.coli* JM109, and 18 hours at 45°C for *Alicyclobacillus*.

Power supply

A neon transformer was used as an AC high voltage power supply for the sterilization processes (LECIP, M-1H shown in Figure 5(a)). Applied voltage to electrodes was adjusted by variable transformer (RIKO-ALIDETRANS). Frequency of AC voltage was about 25 kHz and maximum output was about 1.4 kV.

Pulse power supply was used to carry out the emission spectroscopy to analyze the opto-physical characteristics of microplasma as shown in Figure 6. This self made pulse power supply with MOSFET switches energized the electrodes and controlled the plasma emission and an ICCD camera by trigger signal [19,35]. It generates negative pulses up to -1.6 kV, rise time 80 ns and pulse width varied from 100 ns to 5 μ s.

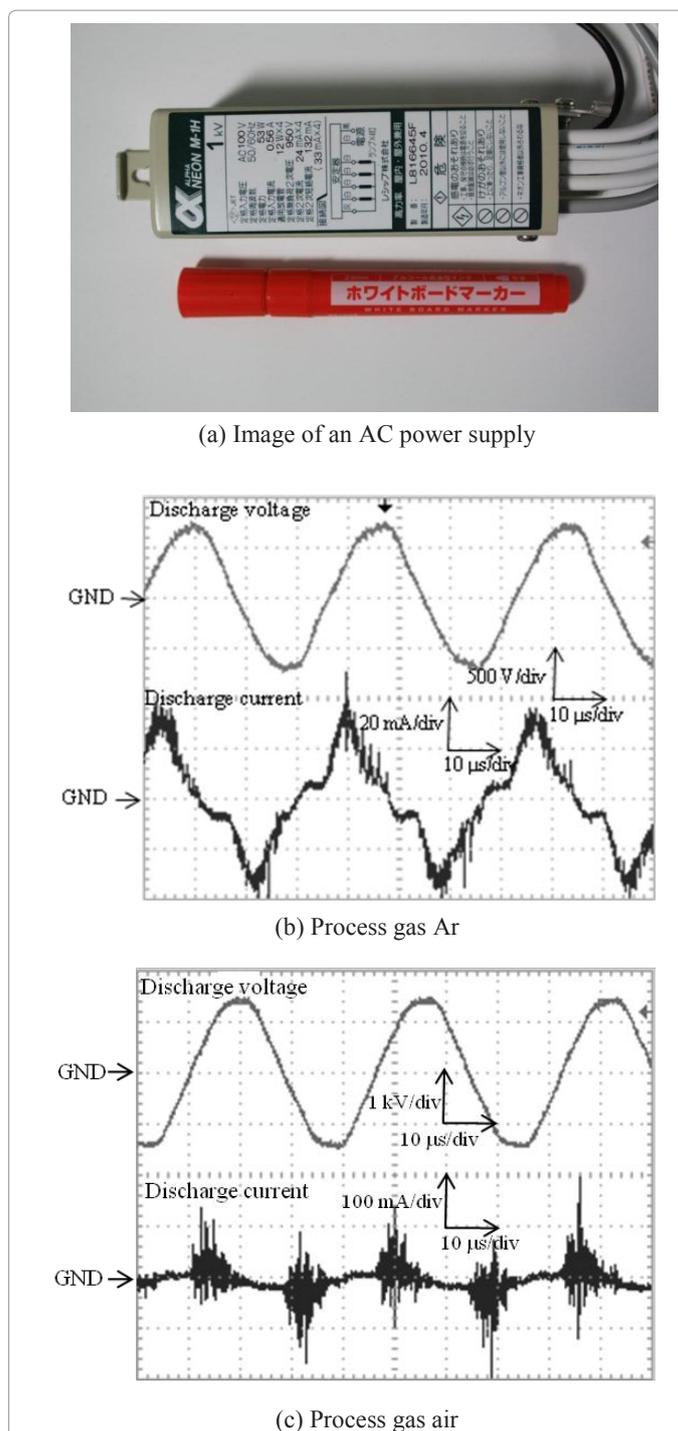
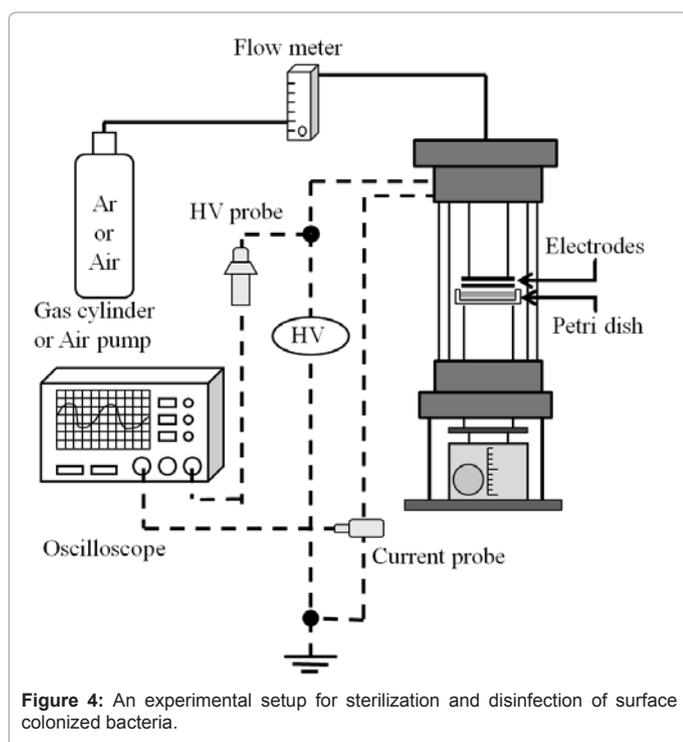


Figure 5: Image of a small AC power supply (a), typical waveform of microplasma with AC neon transformer for both Ar (b) and air microplasma (c).

The electronic circuit for generating pulse voltage is shown in Figure 6(a).

Results and Discussion

Discharge waveform and power for generating microplasma

Figures 5(b) and 5(c) shows the typical waveform of discharge voltage and corresponding discharge current generating microplasma

at about 1 kV. This waveform shows alternate current and frequency is about 25 kHz. Corresponding discharge current showed a typical waveform of dielectric barrier discharge. The microplasma reactor can generate atmospheric plasma at about 1 kV, since its discharge gap was narrow (about 10 to 100 μm) [12-17].

The typical waveforms of pulse voltage by a self-made circuit are shown in Figure 6(b) and 6(c). Rise time of discharge voltage by a pulse power circuit was 80 ns, and pulse width was varied from 100 ns to 4 μs . Sharp discharge current was observed at a rising part of the a negative pulse.

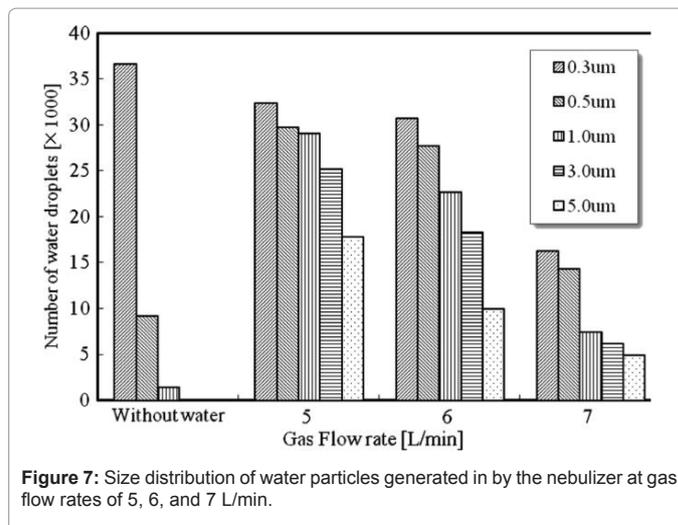
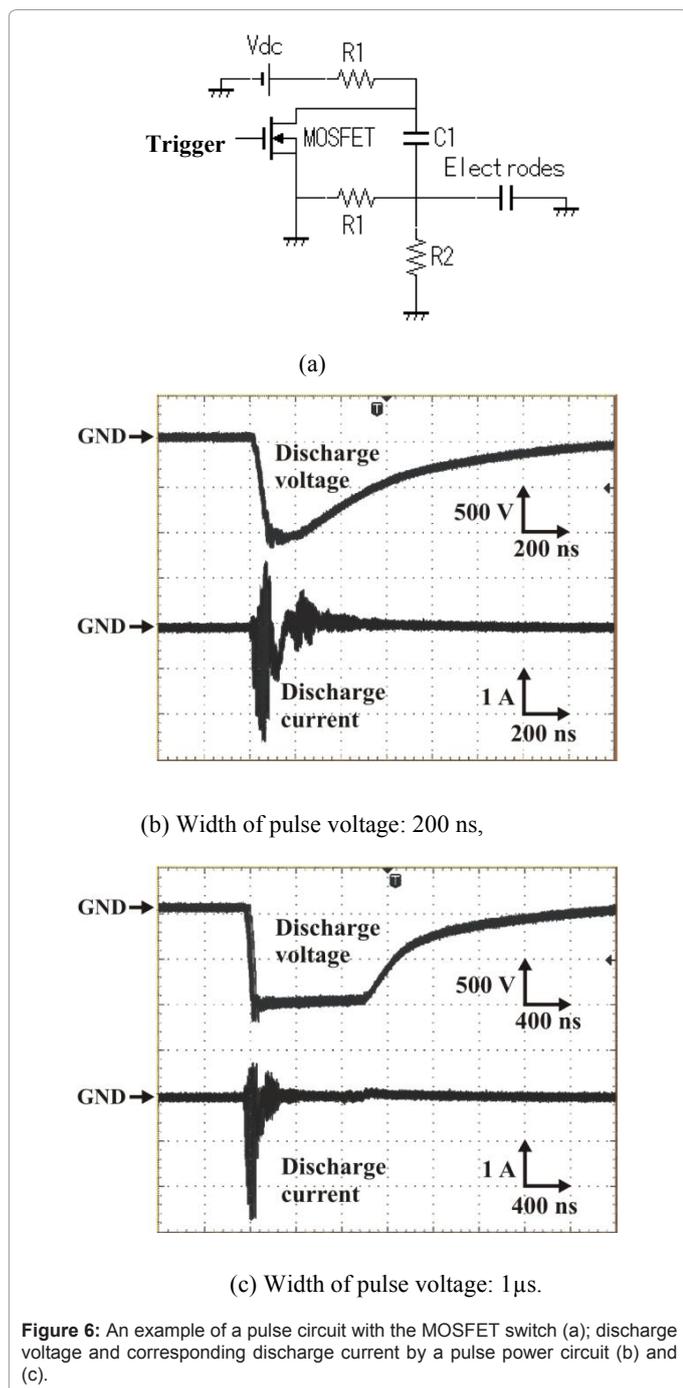


Figure 7: Size distribution of water particles generated in by the nebulizer at gas flow rates of 5, 6, and 7 L/min.

Though the waveforms are different from Figures 5 and 6, microplasma generation was confirmed with all the applied voltage, since the microplasma was generated by typical dielectric barrier discharge. The indoor air control devices in the market are usually driven by weak corona discharge ranged from 5 to 10 kV without dielectric barriers on their electrodes. This is a significant difference between the microplasma technique under discussion here, and the technique employed by indoor air control devices on the market now which generate ions to disinfect the bacteria, according to the manufacturers claims [36].

Sterilization of airborne bacteria by microplasma

Virus or bacteria contained in tiny water droplets suspended in room air known as airborne bacteria could cause serious illnesses such as influenza. One pass treatment of microplasma (shown in Figure 3) can be an effective sterilization method for indoor air.

The diameters of the water particles formed by a medical nebulizer were measured by a laser particle counter (Kanomax 3886). The gas flow rate was restricted to the range of 5–7 L/min because the pressure loss of the nebulizer was high.

As shown in Figure 7, a particle counter observed particles with diameters of 0.3 and 0.5 μm without water in the nebulizer. These particles could be the dust in the room air. With water added to the nebulizer, water droplets with diameters ranging from 0.5 to 5 μm were generated at the applied gas flow rates of 5, 6, and 7 L/min. Smaller water droplets did not coalesce to form larger droplets because the number of 0.5 μm droplets exceeds the number of 1.0 μm droplets. Since the sizes of colon bacilli *Escherichia coli* JCM20135 and *Bacillus subtilis* JCB 20036 are about 0.5 to 2.5 μm , they were contained within the water droplets generated by the nebulizer.

Inactivation of *Escherichia coli* and *Bacillus subtilis* were experimentally investigated at a total gas flow rate of 8.5 L/min by using microplasma electrodes. Ambient air and nitrogen were used to compare the effect of the oxidization effect of ozone and to confirm the effect of high electric field and UV radiation from microplasma.

Photographs of Petri dishes with *E. coli*, before and after the microplasma treatment with air and nitrogen as the carrier gases, are shown in Figure 8. Incubated condition was described in previous

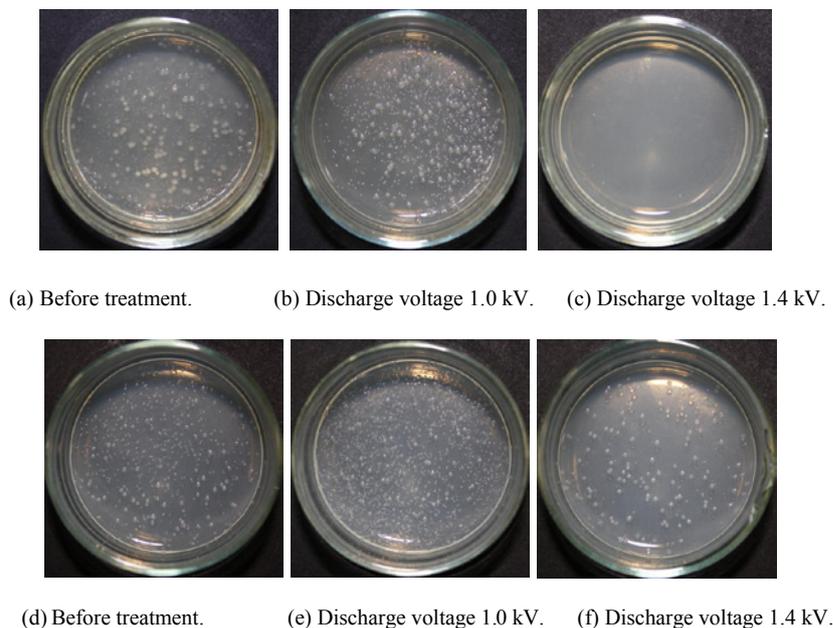


Figure 8: Images of the airborne bacteria (*E. coli* JCM20135) colonies before and after the air plasma treatment ((a)-(c)) and that for the nitrogen plasma treatment ((d)-(f)).

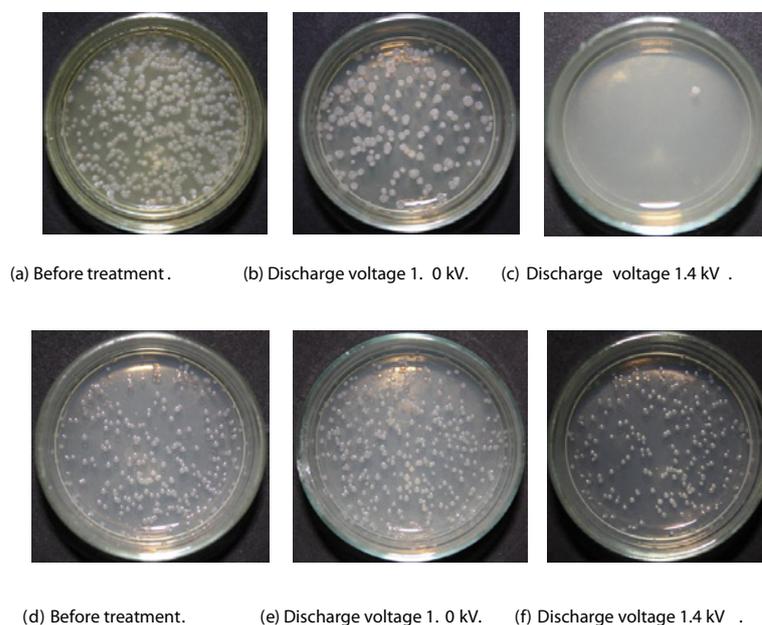


Figure 9: Images of the airborne bacteria (*B. subtilis* JCB20036) colonies before and after the air plasma treatment ((a)-(c)) and that for the nitrogen plasma treatment ((d)-(f)).

section “3.1 Microplasma electrode and the reactor”. Decrease of the colonies was observed both for air plasma and nitrogen plasma.

From these photos, air plasma had better results to sterilize *E. coli* than that with nitrogen plasma. In the case of nitrogen as carrier gas, the presence of oxidation species such as ozone was not confirmed. This could explain the difference between air and nitrogen.

Photographs of Petri dishes with *B. subtilis*, before and after the

microplasma treatment with air and nitrogen as the carrier gases, are shown in Figure 9. Incubated condition was described in previous section “3.1 Microplasma electrode and the reactor”. A decrease of the number of colonies was observed when the discharge voltage increased in both air plasma and nitrogen plasma. Decrease of the colonies was rather low compared to the of *E. coli* results. The inactivation process for bacteria may occur between the electrodes that generate microplasma

or in the space near the electrodes after passing through the holes of the electrodes.

As evident in these photos, more effective results were obtained for *E. coli* (gram-negative bacteria) than that of *B. subtilis* spore. Lower sterilization of *B. subtilis* (gram-positive bacteria spore) could be caused by its relatively impermeable cell walls, which have a thickness in the range of 22 to 25 nm. The cell wall of gram-positive bacteria is composed of peptidoglycan and secondary polymers. Gram-negative bacteria have thin peptidoglycan layers (2–3 nm) plus an overlying lipid-protein bilayer (7–8 nm) known as the outer membrane [37,38].

Sterilization rate of *E. coli* versus discharge voltages by air plasma and nitrogen plasma is shown in Figure 10. 100% sterilization of airborne bacteria (*E. coli* JCM20135) was accomplished with air as carrier gas by microplasma as shown in Figure 10. When nitrogen was the carrier gas, the sterilization rate surpassed 90% corresponding to a discharge voltage of 1.4 kV. Concentration of ozone was measured by an ozone monitor (Seki Electronics SOZ 3300). Ozone was not formed during the discharge in the presence of nitrogen, and the sterilization of *E. coli* could be considered to be due to the effects of high electric field, excited nitrogen ions, active species such as OH, and UV radiation by microplasma, as described below in the next section.

Sterilization rate of *B. subtilis* versus discharge voltage by air plasma and nitrogen plasma is shown in Figure 11. When air was used as carrier gas, maximum concentration of ozone was 22 ppm in the reactor. The effective reactor volume was 0.2 L, and the gas residence time of the reactor was about 1.4 seconds. When air was used as carrier gas, a near 100% sterilization rate of *B. subtilis* was achieved at discharge voltage of 1.4 kV. With nitrogen as the carrier gas, a sterilization rate of about 30% was achieved for *B. subtilis*. From this result, the sterilization process could be considered a synergetic effect of UV radiation, high electric field, (not only oxidative) radicals, and ozone. Various investigators show the sterilization effect (not by microplasma but) by atmospheric plasma or plasma jet [39-43].

Sterilization or disinfection of the airborne bacteria was immediately done, when the airborne bacteria was passing through between the microplasma electrodes. Since treating time of the one pass process was fixed where less than 100 μ s, the D value is calculated by changing the applied voltage, for both *E. coli* and *B. subtilis*. The fraction negative

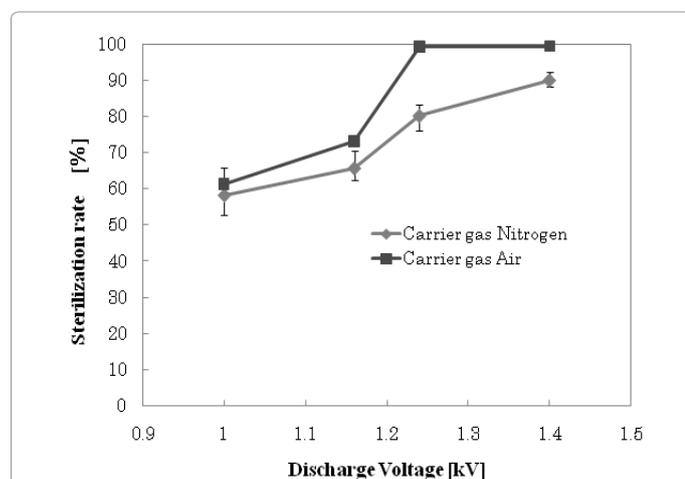


Figure 10: Comparison of sterilization rate of *E. coli* with air and nitrogen plasma.

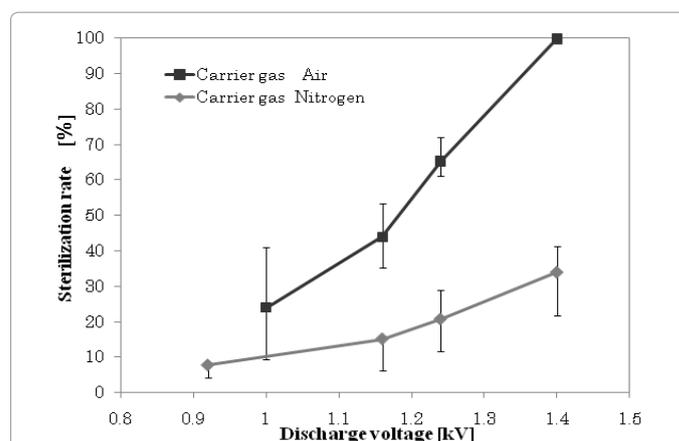


Figure 11: Comparison of sterilization rate of *B. subtilis* with air and nitrogen plasma.

Discharge voltage [kV]	D value for <i>E. coli</i> by air plasma [kV]	D value for <i>E. coli</i> by nitrogen plasma [kV]	D value for <i>B. subtilis</i> by air plasma [kV]	D value for <i>B. subtilis</i> by nitrogen plasma [kV]
1.00	0.270	0.289	0.452	0.505
1.16	0.290	0.324	0.440	0.545
1.24	0.247	0.322	0.427	0.569
1.40	0.259	0.334	0.293	0.596

Table 1: The D value of *E. Coli* and *B. subtilis* for various discharge voltage of both air and nitrogen microplasma.

method of Stumbo Murphy Cochran Procedure (SMCP) was used for calculation of the D value according to the ISO14161 method [44]. The calculated D value is shown in Table 1. The best value was 0.247 kV obtained with air plasma at the discharge voltage of 1.24 kV for the case of *E. coli*.

Sterilization and disinfection of surface colonized bacteria

To examine the impact of gas, only Ar gas without microplasma was flown towards the Petri dish. Figure 12 (a) and (b) shows the result of Ar gas exposure treatment. The *E. coli* culture was diluted by 104, and applied to the agar medium. Ar gas flow rate was 10 L/min at the distance 2 mm between agar medium and electrodes. The exposure time was 60 seconds and the sample was cultured again. Incubated condition was described in previous section “3.1 Microplasma electrode and the reactor”. No sterilization effect was observed by comparing the Petri dish before and after the treatment with only Ar gas as shown in Figure 12 (a) and (b).

Figure 12 (c) to (f) shows the experimental result of sterilization of *E. coli* by using atmospheric Ar microplasma. Discharge voltage was set between 650 ~ 850 V. Ar gas flow rate was 10 L/min and the agar medium was exposed at 2 mm distance from electrodes. Exposure time was 60 seconds. Sterilization effect was observed near the center of Petri dish and sterilization area expanded with the increase of applied voltage. Electrical damage to sample could not be considered because the electric field was closed between electrodes. Thus it is considered that the sterilization effect occurred due to the action of excited Ar and OH radical which could be also generated on the agar surface. The mechanisms of sterilization will be discussed in the next section.

Figure 13 shows the experimental result of sterilization of *E. coli* by using atmospheric Air microplasma. Discharge voltage was set

between 1.3 ~ 1.5 kV. Air gas flow rate was 10 L/min and the agar medium was exposed at 2 mm distance from electrodes. Exposure time was 60 seconds. Microplasma discharge in air treatment sterilized a wider area than microplasma discharge in Ar. This could be due to the long lifetime active species derived from O₂. However, Ar microplasma sterilization affected the center of Petri dish and the effect was stronger than air microplasma treatment.

The D value for treating the surface colonized bacteria (*E. Coli* JM109) was also calculated as shown in Table 2. The best case, where discharge voltage was 1.40 kV, exposure time was 30 seconds, and in the room temperature, was 0.416 kV obtained by the fraction negative method of Stumbo Murphy Cochran Procedure (SMCP) according to the ISO14161 method [36].

Emission spectrum observation of microplasma

UV light emissions from microplasma were observed to confirm the effect of UV light on the bacteria sterilization process [19,35]. The emission spectra were measured by an intensified charge-coupled device (ICCD) camera (Ryoushi-giken, SMCP-ICCD 1024 HAM-NDS/UEmV), a spectrometer (Ryoushi-giken, VIS 351), and by a photomultiplier tube (Hamamatsu Photonics, R3896). A pulse

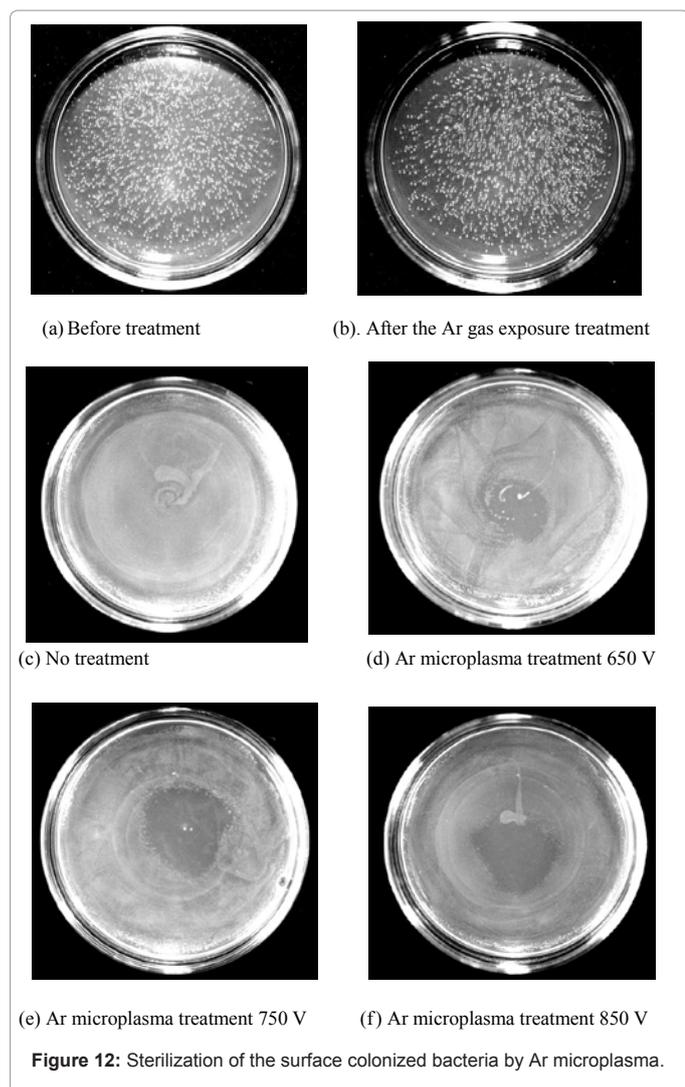


Figure 12: Sterilization of the surface colonized bacteria by Ar microplasma.

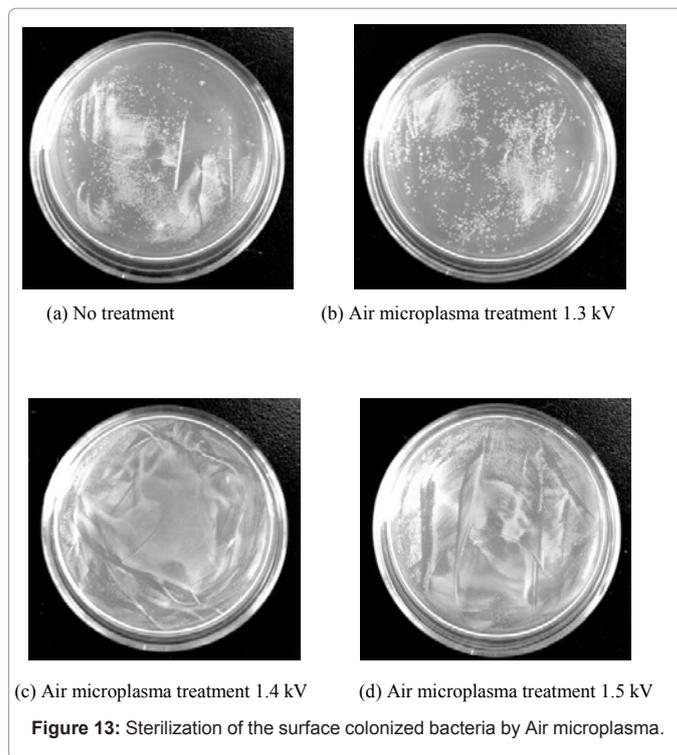


Figure 13: Sterilization of the surface colonized bacteria by Air microplasma.

Discharge voltage [kV]	1.30	1.40	1.50	1.60
D value [kV]	0.461	0.416	0.441	0.450

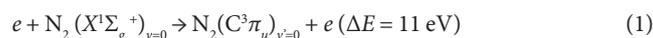
Table 2: The D value of *E. Coli* JM109 for various discharge voltage of microplasma (Exposure time;30 seconds, room temperature, process gas; air).

generator (Tektronix, AFG 3021B) was used to trigger the ICCD camera and the pulse power supply consisting of semiconductor switches. The spectrum was observed at -1.4 kV with a pulsewidth of 500 ns and a frequency of 1 kHz. The gas flow rate of dry nitrogen was set at 5 L/min. Data obtained from the ICCD camera were transferred to a computer for analysis.

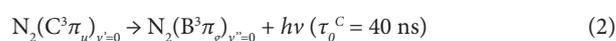
Figure 14 (a) shows the emission spectrum of the microplasma discharge in N₂. Higher peaks indicate the N₂ second positive band system (N₂ SPS) and smaller peaks indicate the N₂ first negative band system (N₂⁺ FNS). The spectrum indicates the generation of active molecular nitrogen species in the microplasma discharge [45].

The elementary processes (1) and (2) describe the radiation kinetics for the N₂ SPS with a wavelength of 337.1 nm and at atmospheric pressure [46].

The excitation of nitrogen molecules in the ground state by direct electron impact is described by reaction (1).

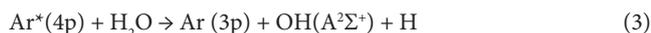


The spontaneous radiation of nitrogen in the excited state is described by reaction (2).



Water droplets from the nebulizer were entrained in the nitrogen gas that could generate the other active species in the microplasma discharge [14].

Figure 14 (b) shows the intensity of excited Ar atoms generated by electron collision [47]. OH radical is generated by the reaction of excited Ar with H₂O derived from the walls inside the box. The peaks corresponding to OH radical were measured at 306.4, 307.8 and 308.9 nm for the microplasma discharge in Ar as shown in Figure 14(c). Also it is considered that OH radical was generated by the reaction of excited Ar with H₂O derived from the moisture of agar medium as reaction (3) [48].



OH radical can contribute to the higher sterilization efficiency [49,50]. The UV light emission confirmed active species such as OH radicals measured at 306.4 nm, 307.8 nm and 308.9 nm [45,51]. UV light emissions from 316 nm and higher wavelengths were also observed, which affect the sterilization process of bacteria [52,53].

OH radicals are generated via electron impact dissociation of H₂O

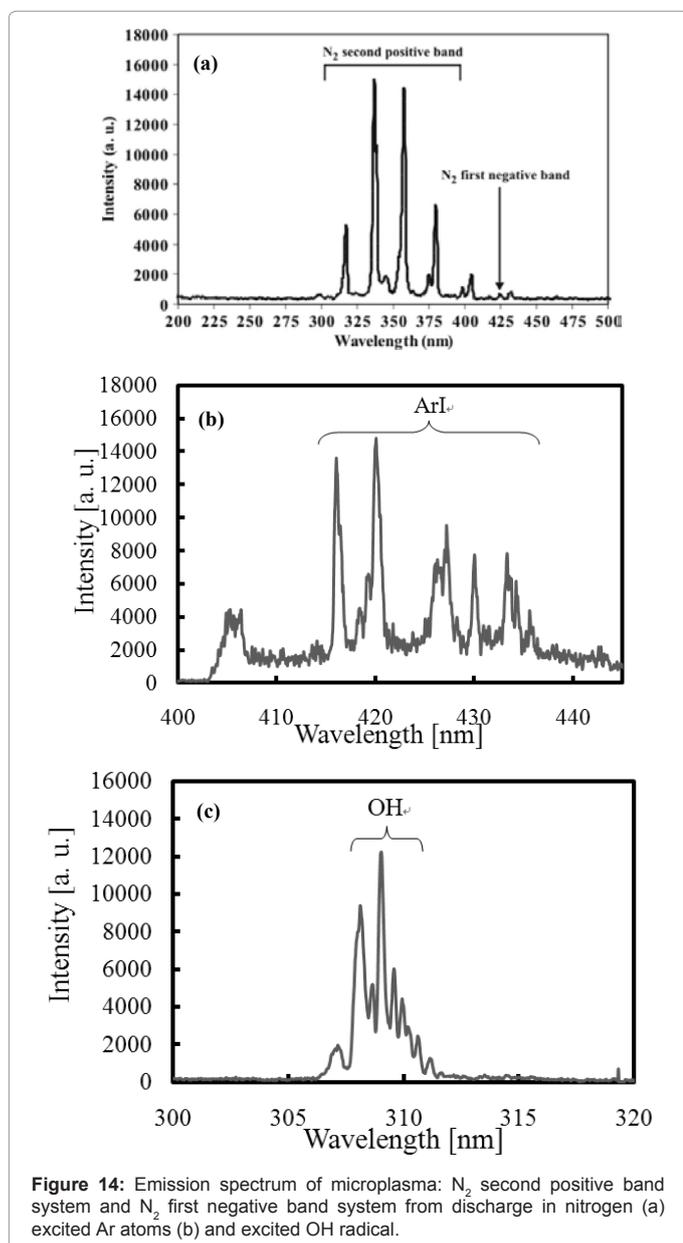


Figure 16 shows the photographs of *E.coli* before and after Ar and air microplasma treatment for disinfection of the surface colonized bacteria. In this case, the bacteria were the nutritive cell, and their physical shape and morphology were changed after microplasma treatment as shown in Figure 16 (b) to (d). These morphology changes by plasma process were already reported by other group, but not for

Ar plasma [58,59]. These morphology changes could be considered by etching effect by Ar ions.

Figure 17 shows the photographs of *Alicyclobatillus acidoterrestris* before and after Ar microplasma treatment. *Alicyclobatillus* is a Gram-negative bacteria spore. In general it is considered that various radicals derived from O₂ contribute to the sterilization effects. The mechanism of various O₂ radicals on the bacteria is considered to be similar to an etching process. The greater the O₂ plasma exposure is, the more shrinkage of the spore is observed [59].

In contrast, there have been few studies which demonstrated that active species derived from rare gases have an etching effect. In this study, the shape of both *E.coli* and *Alicyclobatillus* were changed by using Ar microplasma treatment. One possibility is to assume that Ar ions acted directly on the bacteria. Another possibility is that OH radical was generated due to the dissociation of moisture on the agar medium by excited Ar and its OH radical interacted with bacteria.

Conclusions and Future Work

Indoor air control is a keen issue worldwide, since pandemics such as new influenza strains are now serious problems in every country. Various devices which claim to generate ozone or ions to control indoor air are on the market now. But their performances are insufficient, especially for air pollutant removal [60]. Mechanisms for the sterilization or disinfection of bacteria are still not proven, and require more research to improve the performances of indoor air control devices.

In this article, a technique for indoor air control by microplasma was presented with the various data for sterilization and disinfection of the airborne bacteria or the surface colonized bacteria.

Also, various power supplies including a self-made Marx generator are presented resulting in low ozone concentration in output gas. It is also important to treat indoor air in such a way as not to generate harmful gases to human beings. Moreover, microplasma has various features as discussed in "2. About Microplasma". Discharge voltage is lower than that of other nonthermal plasma methods, or other indoor air control devices on the market. This means microplasma devices will possibly be made small, light and cost effective for commercial production. Air control devices generate ions to treat room air; in contrast, microplasma can treat room air, while room air flows into the microplasma electrode. There could be UV light emission, active species, strong electrical field between the electrodes. Odour gas molecules, bacteria or viruses could be decomposed or sterilized here.

Mechanisms of sterilization process have also been demonstrated with chemical reactions and emission spectra which could attribute emitting active species such as OH radicals from microplasma. But this data is still insufficient to explain these mechanisms.

In this article, there is no information about ion density generated by microplasma. Ions could be generated during the discharge process of the atmospheric microplasma which could work as air purification device. However evidence supporting claims that ions contribute to indoor air treatment processes is still not overwhelmingly conclusive. Further study is required to show sterilization and disinfection not only by the microplasma, but also the other air purification devices are "effective". As well as how safe this process is for practical use not only in home, but also hospitals, schools, and so on.

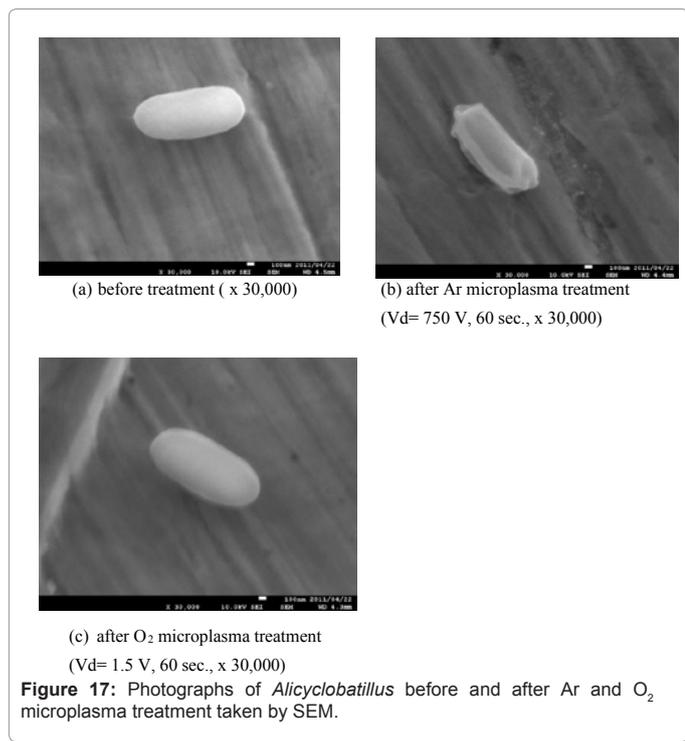
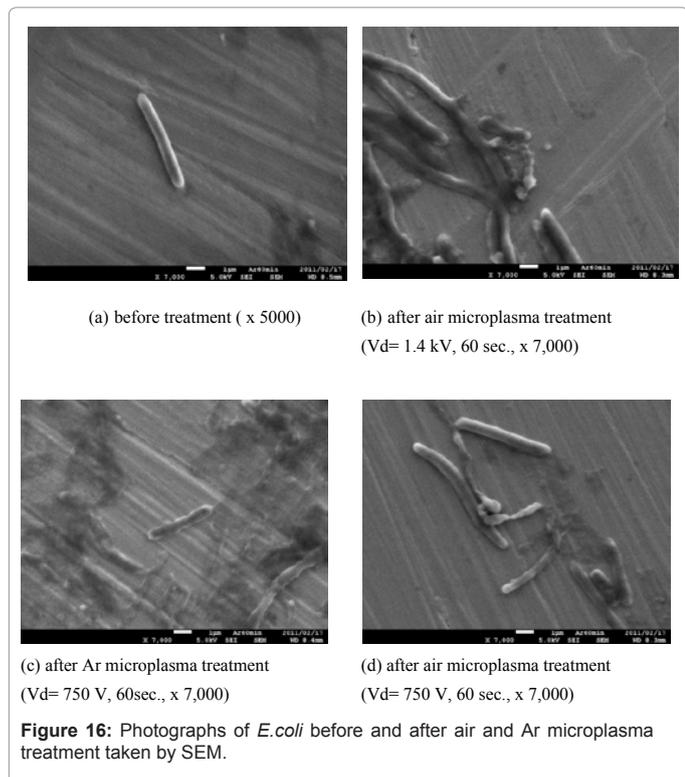


Figure 16: Photographs of *E.coli* before and after air and Ar microplasma treatment taken by SEM.

Figure 17: Photographs of *Alicyclobatillus* before and after Ar and O₂ microplasma treatment taken by SEM.

We strongly hope that the microplasma technology will be popularized to overcome both the airborne bacteria and the surface colonized bacteria in the world.

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