

# Dual Trigger Crosslinked Micelles Based Polyamidoamine for Effective Paclitaxel Delivery

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## Abstract

Targeted delivery of drugs in therapeutic applications is gaining traction in treating various diseases. However, its practicality is challenged by uncontrolled drug release. We present here a novel dual-trigger polyamidoamine-based crosslinked micelle vector that releases therapeutic drugs in response to triggers. The degradation of micelles can be controlled by redox and MMP-2 enzymatic activities. Such a system can achieve greater specificity for drug release than most recently reported micelle systems. Cytotoxicity tests of the micelles showed that they posed significantly lower toxicity towards normal cells as normal cells have relatively lower concentration of MMP-2 enzyme to disintegrate the micelles. The paclitaxel-conjugated micelles were effective in inducing apoptosis and cell cycle arrest in MDA-MB-231 cancer cells. The results demonstrated that the degradation of polyamidoamines could be fine-tuned by an enzyme-active peptide, thus increasing the anti-tumour efficacy and pave the way for development of highly controllable targeted drug delivery platforms.

**Keywords:** Polymeric micelles; Drug delivery; Biodegradable; Matrix metalloproteases; Epidermoid carcinoma

## Introduction

Polymeric micelles are one of the most promising drug delivery systems. Their successes and limitations have prompted the continual search for new polymeric delivery systems [1-7]. One of the current challenges in drug delivery applications is to liberate therapeutic agents at specified times and locations. Releasing therapeutic agents can be achieved through degradation of micelles triggered by biological stimuli. Most widely used biological stimuli are pH changes, redox reactions, and presence of enzyme [8-10]. The advantage of degradation of polymeric micelles is that degraded polymeric micelles are efficiently excreted from the body [11].

Redox-triggered degradation of polymeric micelles is one of the most recognized biodegradation processes in therapeutic delivery. Micelles are formed from polymers bearing disulfide bonds which are generally stable in the circulation system and prone to rapid cleavage under a reductive environment through thiol-disulfide exchange reaction [12,13]. The higher concentration of glutathione (GSH) in tumor cells allows the attractive use of redox-responsive degradable polymers for drug delivery [14]. Several redox-responsive poly(amidoamine) compounds which contain many disulfide bonds, secondary and tertiary amines in the backbone through Michael-addition polymerization were reported for gene or drug delivery [15]. Other than fast degradation, they offer the distinct advantages of easy tunability of their topology by varying the polymerization temperature or monomer ratios, the availability of multiple sites for the attachment of various ligands as property modifiers such as targeting-specific ligands, and poly(ethylene)glycol (PEG) stealth layers. GSH is present in both cancer and normal cells. Although, normal cells have lower concentration of GSH, this low concentration can also cause redox-degradation of micelles which makes the delivery system non-specific, causing deleterious side-effects of therapeutic delivery systems. An ideal delivery system should be one that is able to eliminate this non-specific degradation process.

Other than the problem of non-specificity, stability of micelles is a common limitation in most polymeric micelles for drug delivery applications in which they spontaneously dissociate upon intravenous

administration as dilution in blood circulation causes premature release of therapeutic agents [16,17]. The crosslinked micelles, first coined by Wooley in 1996, are actively developed to overcome the issue of instability. Redox-responsive crosslinker has been used to stabilize micelles as demonstrated by Armes [18-20]. To date, there have been a number of reports suggesting that crosslinked micelles may hold promise in drug delivery applications [21]. Unfortunately, most of these reported crosslinked micelles consist of a non-biodegradable polymer backbone, which may take a long time to be excreted. Retention in the body can cause lysosomal storage disease syndrome upon repeated drug application [22]. Lipoic acid modified biodegradable micelles have recently been reported and shown a reversibly formed stable micelle. Lipoic acid is a naturally occurring pro-vitamin rendering itself ideal for biomedical applications [23-25]. In light of this, lipoic acid will be used to enhance stability of polymeric micelles and is, thus, incorporated into the copolymer backbone in the current study. Our main objective was to fine-tune the process of degradation of crosslinked redox-responsive micelles under conditions designed to mimic its behaviour in the cytoplasm. We hypothesize that enzyme-active peptide could be an effective crosslinker in tuning the rate of degradation of lipoic acid crosslinked redox-responsive micelles, thereby increasing antitumor efficacy. These micelles were characterized for stability, drug release, *in vitro* cytotoxicity, intracellular uptake and ability to induce cell cycle arrest and apoptosis in MDA-MB-231 cancer cells.

Several important aspects of tumor enzymes have been exploited for targeted release. Matrix metalloproteases (MMPs), a family of

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proteolytic enzymes, have been causally implicated in tumor invasion and angiogenesis [26-35]. Much research has been devoted to MMPs, especially MMP-2. MMP-2 is over-expressed in many cancers, including breast cancers, and is a sign of tumor angiogenesis and metastasis; MMP-2 expression level is statistically higher in cancerous breast cells than in normal ones. To date, targeted release based on MMPs activity has been pursued by conjugating MMP-sensitive peptide to polymeric carrier, and therapeutic agents were released from polymeric carrier once the MMPs peptide substrate was cleaved [36-40]. However, the use of MMP-sensitive peptide for variation of degradation rate polymeric micelles to improve selective degradation for improvement of delivery specificity has not been widely explored. In this study, we use paclitaxel (PTX) as a model drug for our delivery system. The advantage of polymer-drug conjugates over other formulations such as Taxol<sup>®</sup> is that no toxic solubilizing agent, Cremophor EL, is present, and Enhanced Permeability and Retention (EPR) effect is enhanced [41-45]. The conjugation of PTX onto poly(AMPD-BAC)-g-PEG is similar to that of PEG such that it forms a carbamate linkage that is hydrolytically stable [46-48]. PNU166945, a potential PTX polymeric conjugate, has entered clinical trials. However, it suffers from poor pharmacokinetics in phase I due to instability of ester linkage conjugating PTX [49]. It is thus expected that favourable pharmacokinetic properties of carbamate linked PTX allow it to be stable in the circulatory system. The significance of this work lies in the high degree of control exerted over the micelles properties and the potential of this platform technology for other applications.

## Materials and Methods

### Materials

4-aminomethyl piperidine (AMPD, Alfa Aesar), *N,N'*-bis(acryloyl) cystamine (BAC, polysciences), paclitaxel (PTX, Yunnan Hande Bio-Tech Co. Ltd, China), dithiothreitol (DTT, Sigm-Aldrich), 4-nitrophenyl chloroformate (Fluka), lipoic acid (Sigma), monomethyl poly(ethylene glycol) (*Mw*: 2000, Sigma-Aldrich), acrylic acid *N*-hydroxy succinimide ester (Sigma) and catalytic domains of MMP-2 (Biomol) were from commercial sources and used without further purification. MMP-2 sensitive peptide was designed based on the known MMP-2 substrate sequence, and was synthesized by Shanghai Hanhong Chemical Co., Ltd. with 98% purity [50]. All other starting materials were purchased from Aldrich. Methyl poly(ethylene)glycol-4-nitrophenyl carbonate [51], and 2'-[4-Nitrophenyl-carbonate]paclitaxel were prepared according to the literature method [52,53]. MCF-10A cell lines were purchased from the American Type Culture Collection (ATCC). MDA-MB-231 and MCF-10A cells were grown in culture flasks with Dulbecco's Modified Eagle Medium (DMEM, Invitrogen) containing 10% fetal bovine serum (FBS, Invitrogen), 1% L-glutamate (GIBCO Laboratories) and 1% penicillin-streptomycin (GIBCO Laboratories) at 37°C in a 5% CO<sub>2</sub> incubator. Phosphate buffered saline (PBS) was purchased from 1<sup>st</sup> BASE. The cytotoxicity assay were performed with 3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyltetrazolium Bromide (MTT, Duchefa Biochemie).

### General procedure

<sup>1</sup>H NMR spectra were recorded on a Bruker DRX-400 NMR spectrometer; chemical shifts reported were referenced against the residual proton signals of the solvents. Molecular weight was determined from gel permeation chromatography (GPC) implemented on a Waters 2690 apparatus with Water Ultrahydrogel 250 and 200 columns, a Waters 410 refractive index detector, and a miniDAWN light scattering detector (Wyatt Technology) using 0.2M acetic acid/ 0.2M sodium acetate as eluent at a flow rate of 0.75 mL/min. A Brookhaven

BI-9000AT Digital Autocorrelator was used for dynamic light scattering (DLS) measurements with 90° scattering angle laser light of wavelength 632.8 nm. Transmission electron microscopy (TEM) images were obtained on a Philips CM300 FEGTEM instrument at 300 kV. The samples were prepared by dipping holey copper meshes covered with carbon into an aqueous solution of samples followed by drying in air.

### Synthesis of linear polymer poly (AMPD-BAC)

The polymer was synthesized by Michael-addition polymerization. BAC (6.14 g, 25 mmol) was dissolved in 40 mL dry methanol at room temperature. AMPD (2.88 g, 25 mmol) was added to the solution while stirring. The mixture was stirred at room temperature for 30 days. The resulted solution was dialyzed against methanol for five times to remove unreacted monomers.

Yield = 6.80 g (71%).

<sup>1</sup>H NMR (CH<sub>3</sub>OD): δ 1.24 (m, 2H, CH<sub>2</sub>), 1.52 (bs, 1H, CH), 1.75 (d, 2H, CH<sub>2</sub>), 2.03 (t, 4H, CH<sub>2</sub>), 2.38 (t, 4H, CH<sub>2</sub>), 2.49 (d, 2H, CH<sub>2</sub>), 2.65 (t, 2H, CH<sub>2</sub>), 2.83 (t, 4H, CH<sub>2</sub>), 2.95 (d, 2H, CH<sub>2</sub>), 3.49 (t, 4H, CH<sub>2</sub>).

### Synthesis of poly (AMPD-BAC)-g-PEG

Poly (AMPD-BAC)(6.0 g, 16.0 mmol) in 60 mL dry dimethylsulfoxide was added to PEG 4-nitrophenyl carbonate (5.83 g, 2.6 mmol). The mixture was stirred at room temperature for five days. The resulted solution was dialyzed against methanol for 4 times to remove unreacted PEG. The solvent was removed under reduced pressure to afford poly(AMPD-BAC)-g-PEG as a water soluble solid.

Yield = 7.40 g (73%)

<sup>1</sup>H NMR (CH<sub>3</sub>OD): δ 1.24 (m, 2H, CH<sub>2</sub>), 1.52 (bs, 1H, CH), 1.75 (d, 2H, CH<sub>2</sub>), 2.03 (t, 4H, CH<sub>2</sub>), 2.38 (t, 4H, CH<sub>2</sub>), 2.49 (d, 2H, CH<sub>2</sub>), 2.65 (t, 2H, CH<sub>2</sub>), 2.83 (t, 4H, CH<sub>2</sub>), 2.95 (d, 2H, CH<sub>2</sub>), 3.49 (t, 4H, CH<sub>2</sub>), 3.63 (s, b, OCH<sub>2</sub>CH<sub>2</sub>), 4.14 (bs, 2H, CH<sub>2</sub>).

### Synthesis 2'-[4-nitrophenyl-carbonate] paclitaxel

Pyridine (1 mL) was added into a solution of paclitaxel (2.0 g, 2.34 mmol) in 15 mL dry dichloromethane under argon atmosphere. At -50°C, 4-nitrophenyl chloroformate (1.89 g, 9.36 mmol) dissolved in 10 mL dichloromethane was added. The reaction mixture was stirred for 4 h. The product was precipitated from the reaction mixture using diethyl ether. The solid was collected and purified by re-precipitation from a diethyl ether solution.

Yield: 1.20 g (50.30%)

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.13 (s, 3H, CH<sub>3</sub>), 1.21 (s, 3H, CH<sub>3</sub>), 1.69 (s, 3H, CH<sub>3</sub>), 1.80 (s, 3H, CH<sub>3</sub>), 2.22 (s, 3H, OAc), 2.38 (s, 3H, OAc), 3.78 (d, 1H, CH), 4.19 (d, 2H, CH<sub>2</sub>), 4.30 (d, 2H, CH), 4.40 (dd, 1H, CH), 4.82 (d, 1H, CH), 4.94 (d, 1H, CH), 5.66 (d, 1H, CH), 5.78 (dd, 1H, CH), 6.20 (t, 1H, CH), 6.25 (s, 1H, CH), 6.94 (d, 1H, NH), 7.26 (s, aromatic), 7.40 (m, aromatic), 7.47 (d, nitrophenyl), 7.58 (m, aromatic), 7.79 (m, aromatic), 8.11 (m, aromatic), 8.76 (d, nitrophenyl).

### Synthesis of poly (AMPD-BAC)-g-PEG-PTX

2'-[4-Nitrophenyl-carbonate] paclitaxel (4.37 g, 2.0 mmol) and triethylamine (1 mL) was added to poly(AMPD-BAC)-g-PEG(6.0 g, 1.10 mmol) in 60 mL dimethylsulfoxide. The mixture was stirred at room temperature for 24 h. The resulted solution was dialyzed against methanol for five times. The solvent was removed under reduced pressure to afford poly (AMPD-BAC)-g-PEG-PTX as a water soluble transparent liquid.

Yield = 5.80 g (77%)

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.15 (s, 3H, CH<sub>3</sub>), 1.33 (m, 2H, CH<sub>2</sub>), 1.67 (s, 3H, CH<sub>3</sub>), 1.83 (d, 2H, CH<sub>2</sub>), 1.90 (s, 3H, CH<sub>3</sub>), 1.94 (m, 4H, CH<sub>2</sub>), 2.17 (s, 3H, OAc), 2.35 (s, 3H, OAc), 2.44 (d, 4H, CH<sub>2</sub>, 2H, CH<sub>2</sub>), 2.57 (m, 2H, CH<sub>2</sub>), 2.72 (bs, 2H, CH<sub>2</sub>), 2.84 (m, 4H, CH<sub>2</sub>), 3.16 (d, 2H, CH<sub>2</sub>), 3.50 (t, 4H, CH<sub>2</sub>), 3.63 (bs, OCH<sub>2</sub>CH<sub>2</sub>), 3.81 (d, 1H, CH), 4.19 (m, 4H, CH<sub>2</sub>, 2H, CH<sub>2</sub>), 4.32 (dd, 1H, CH), 4.82 (d, 1H, CH), 5.00 (d, 1H, CH), 5.50 (d, 1H, CH), 5.64 (dd, 1H, CH), 6.15 (t, 1H, CH), 6.46 (s, 1H, CH), 6.94 (d, 1H, NH), 7.26 (m, aromatic), 7.40 (m, aromatic), 7.52 (m, aromatic), 7.84 (m, aromatic), 8.09 (m, aromatic).

### Synthesis of lipoic acid anhydride

A mixture of lipoic acid (5.0 g, 24.0 mmol) and dicyclohexyl carbodiimide (3.25 g, 16.0 mmol) was stirred in 30 mL of dry methylene chloride for overnight at room temperature under argon atmosphere. The product mixture was filtered in order to remove the urea which had formed. The methylene chloride was removed under reduced pressure to afford a yellow solid. Lipoic acid anhydride was used without further purification. Examination of the filtrate by IR revealed the presence of lipoic acid anhydride (1746 and 1817 cm<sup>-1</sup>).

IR (KBr): 1817(s), 1746(s) cm<sup>-1</sup>

### Synthesis of poly(AMPD-BAC)-g-PEG-lipoyl-PTX

To a poly(AMPD-BAC)-g-PEG-PTX (1.5g, 0.16mmol) in 30 mL dry methylene chloride was added lipoic acid anhydride (0.25 g, 0.64 mmol) and 4-(dimethylamino)pyridine (0.1 mg, 0.81 mmol). After the mixture was stirred for 24 h under argon at room temperature, the resulted solution was dialyzed against methanol for five times. The solvent was removed under reduced pressure to afford poly(AMPD-BAC)-g-PEG-lipoyl-PTX a light yellow solid.

Yield = 0.80 g (50%)

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.14 (s, 3H, CH<sub>3</sub>), 1.24 (m, 2H, CH<sub>2</sub>), 2.23 (s, 3H, OAc), 2.38 (s, 3H, OAc), 2.57 (m, 4H, CH<sub>2</sub>, 2H, CH<sub>2</sub>, 2H, CH<sub>2</sub>), 2.78 (m, 2H, CH<sub>2</sub>, 4H, CH<sub>2</sub>), 2.90 (d, 2H, CH<sub>2</sub>), 3.10 (m, 4H, lipoic-CH<sub>2</sub>SS), 3.64 (bs, OCH<sub>2</sub>CH<sub>2</sub>), 3.80 (d, 1H, CH), 4.16 (m, 4H, CH<sub>2</sub>, 2H, CH<sub>2</sub>), 4.39 (dd, 1H, CH), 4.80 (d, 1H, CH), 4.92 (d, 1H, CH), 5.66 (d, 1H, CH), 5.77 (dd, 1H, CH), 6.23 (t, 1H, CH), 6.80 (d, 1H, NH), 7.24 (m, aromatic), 7.40 (m, aromatic), 7.47 (m, aromatic), 7.74 (m, aromatic), 8.13 (m, aromatic).

### Synthesis of poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 peptide

MMP-2 sensitive peptide (100 mg, 0.093 mmol) was dissolved in 20 mL dry DMSO. Subsequently, acrylic acid N-hydroxy succinimide ester (100 mg, 0.6 mmol) and triethylamine (0.1 mL, 1 mmol) were added. The resultant solution was stirred for 24 h at room temperature. Acrylate MMP-2 sensitive peptide was precipitated from the solution by diethyl ether. The peptide was collected and used without further purification. To a micellar solution of poly(AMPD-BAC)-g-PEG-lipoyl-PTX (1.5g, 0.16 mmol) in 30 mL DI water was added acrylate MMP-2 sensitive peptide (0.1 g, 0.1 mmol). After the mixture was stirred for 48 h under argon at 50°C, the resulted solution was dialyzed against water for five times and then freeze drying to afford poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 a light yellow solid.

Yield = 0.90 g (54%)

<sup>1</sup>H NMR (MeOD): δ 0.9-0.98 (val/leu mmp2), 1.14 (m, 3H, CH<sub>3</sub>), 1.34 (m, 2H, CH<sub>2</sub>), 1.68 (s, 3H, CH<sub>3</sub>), 1.83 (m, 3H, CH<sub>3</sub>), 1.96 (m, 4H, CH<sub>2</sub>), 2.16 (s, 3H, OAc), 2.35 (s, 3H, OAc), 2.45 (d, 4H, CH<sub>2</sub>), 2.59 (m,

2H, CH<sub>2</sub>), 2.75 (m, 2H, CH<sub>2</sub>), 2.84 (m, 2H, CH<sub>2</sub>), 3.04 (m, mmp2), 3.16 (d, 2H, CH<sub>2</sub>), 3.50 (bs, 4H, CH<sub>2</sub>), 3.64 (bs, OCH<sub>2</sub>CH<sub>2</sub>), 3.80 (d, 1H, CH), 4.18 (m, 4H, CH<sub>2</sub>, 2H, CH<sub>2</sub>), 4.29 (dd, 1H, CH), 4.40 (m, mmp2), 5.43 (d, 1H, CH), 5.64 (dd, 1H, CH), 6.15 (t, 1H, CH), 6.78 (s, 1H, CH), 7.45-8.23 (m, aromatic).

### Preparation of crosslinked micelles

The poly (AMPD-BAC)-g-PEG-lipoyl-PTX was dissolved in water at pH 2.0, and the solution was adjusted to pH 8.0 to induce the formation of micelles. The solution was stirred in air for 48 h with small amount of H<sub>2</sub>O<sub>2</sub>. The cross linking of lipoic acid was confirmed by ultraviolet measurement.

### Determination of loading and encapsulation of PTX

To determine the loading of PTX in polymer system, micelle was dissolved with acetonitrile. The solution was analysed for PTX content using HPLC. Varian prostar 210 HPLC system at a flow rate of 1.0 mL/min at room temperature was used. The detection was performed at 227 nm using a Varian Photodiode Array HPLC detector. A 20 µL of sample was injected in a gradient elution using 0.1% trifluoroacetic acid aqueous solution and acetonitrile. The level of PTX loading (w/w%), and encapsulation efficiency were calculated using the following Eqs:

PTX loading (w/w%) = (Amount of detected PTX in mg / Amount of copolymer in mg) × 100

Encapsulation efficiency (%) = (Amount of detected PTX in mg / Amount of PTX added in mg) × 100

### Reduction-triggered destabilization of poly(AMPD-BAC)-g-PEG-lipoyl-PTX micelles

The size change of micelles in response to 20 µM DTT was followed by DLS measurement. In detail, 1 mg/mL of poly(AMPD-BAC)-g-PEG-lipoyl-PTX micelles in water was added with 20 µM DTT. The solution was placed on a shaking bed with a rotation speed of 200 rpm.

### Reduction and MMP2 enzyme triggered destabilization of poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles

All dynamic light scattering experiments were performed in 1 mL solutions of 0.1M HEPES 0.15M NaCl 0.005M CaCl<sub>2</sub> with 0.5mg/mL polymer. To begin experiment, catalytic domains of MMP2 (3.0 µg) and DTT (20 µM) were added in 1 mL of polymer. The degradation of polymer was also examined in the absence of catalytic domain of MMP-2. Kinetic dynamic light scattering intensity size measurements were taken and hydrodynamic radius was plotted vs. time.

### Preparation of FITC labeled poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2

FITC is attached to poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 via the reaction between isothiocyanate groups of FITC and the residual amines on poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2. In a typical process, 0.1 g of poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 was dissolved in 4 mL of dried DMSO. Subsequently, a solution of 70 mg of FITC in 2 mL of DMSO was added dropwise. The reaction was performed in dark at ambient temperature overnight. The solution was dialyzed in methanol for five times.

### Cytotoxicity assay

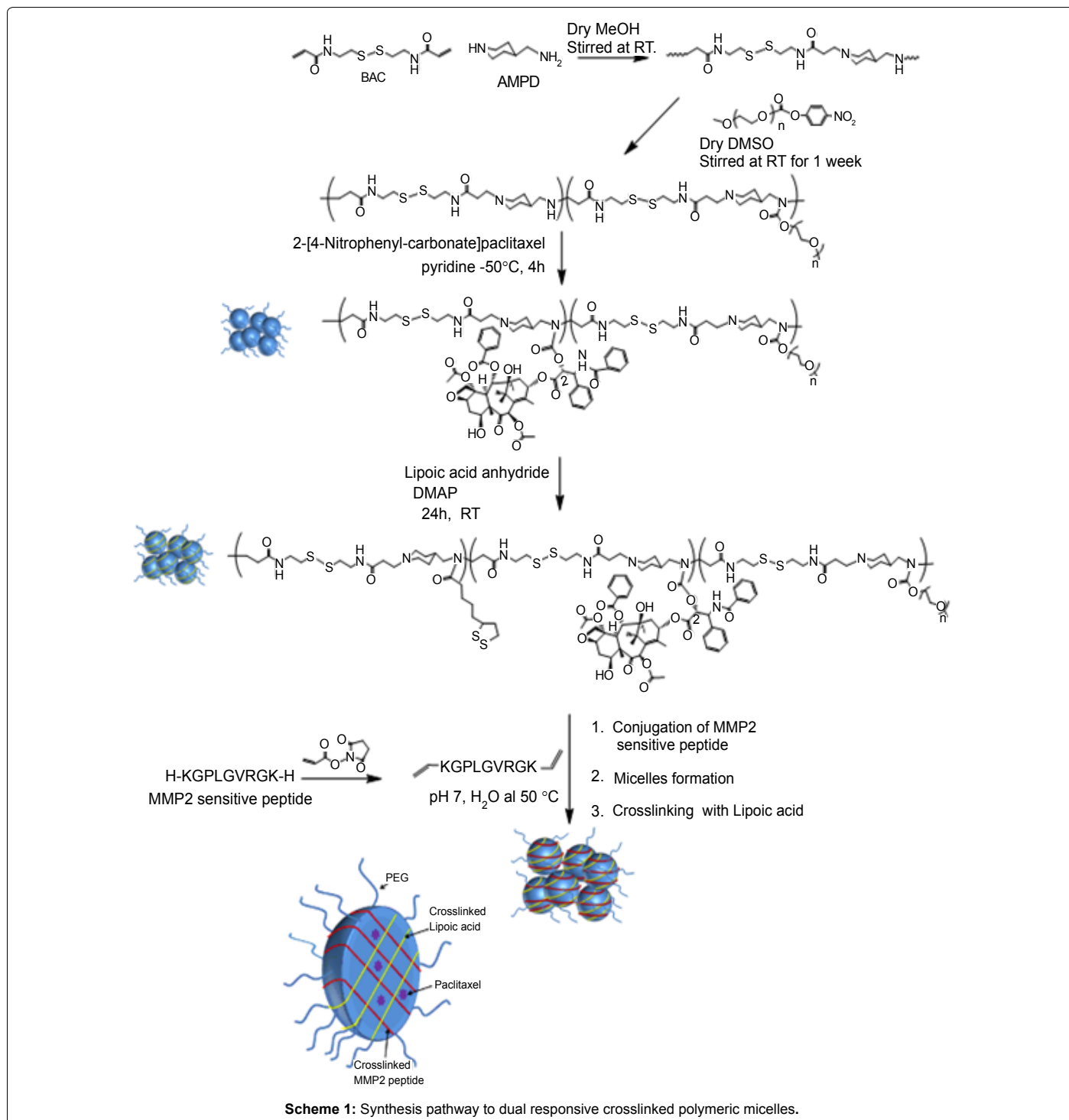
MCF-10A and MDA-MB-231 cells were cultured in DMEM supplemented with 10% FBS at 37°C, 10% CO<sub>2</sub>, and 95% relative humidity. For the cell viability assay, polymer solutions were prepared

in serum supplemented tissue culture medium. The cells (10,000cells/well) were seeded into 96-well microtiter plates (Nunc, Wiesbaden, Germany). After overnight incubation, the culture medium was replaced with 100  $\mu$ L of serial dilutions of the polymers, and the cells were incubated for 24 and 48 hours. Twenty microlitre of sterile filtered MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide) (5 mgmL<sup>-1</sup>) stock solution in phosphate buffered saline (PBS) was added to each well. After 4 hours, unreacted dye was removed by aspiration. The formazan crystals were dissolved in 100  $\mu$ L/well DMSO (BDH

laboratory Supplies, England) and measured spectrophotometrically in an ELISA reader (Model 550, Bio-Rad) at a wavelength of 570 nm. The spectrophotometer was calibrated to zero absorbance using culture medium without cells. The relative cell growth (%) related to control cells containing cell culture medium without polymer was calculated by  $[A]_{\text{test}}/[A]_{\text{control}} \times 100\%$ . All tests were performed in triplicates.

### Annexin V and propidium iodide staining for flow cytometry

The percentage of cells actively undergoing apoptosis was





determined using annexin V-PE-based immunofluorescence, as described previously. Briefly, MDA-MB-231 cells were plated in 6-well flat bottom plates to yield 80% confluence within 24 h. They were then treated with polymer and incubated for 24 h, after which the cells were harvested and then double-labelled with annexin V-FITC and PI, as described by the manufacturer (BD Biosciences). The cells were then analyzed by flow cytometry.

### Cell cycle by flow cytometry

Cells were plated in 6-well flat bottom plates with 80% confluence after 24 h. They were treated with indicated concentration of the osmium carbonyl clusters for 24 h then trypsinized and washed twice with cold PBS, resuspended in 70% ethanol and then incubated at room temperature for 30 min. After this, they were spun down at 2000 rpm (400 g) for 5 min, washed once, and re-suspended again with 1 x PBS containing 1% FBS (500  $\mu$ L). This was then incubated (37°C for 15 min) after the addition of 1 x RNase (20  $\mu$ L), after which 1 x PI (50  $\mu$ L) was added, and the samples analyzed by flow cytometry within 1 h.

### In-Vitro fluorescence confocal imaging of poly (AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2uptake

Cells were grown on coverslips (60% confluence), incubated with solution of FITC labelled poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 for 24 h, washed with 1 x PBS (3 x 2mL), fixed with 5% formaldehyde in PBS for 20 min at room temperature. They were then permeabilized with 0.1% Triton X-10 in PBS (USB Corp). The sample was then washed with 1 x PBS (3 x 3mL), mounted with 200  $\mu$ L DAPI containing Pro Long Gold antifade reagent (Invitrogen). Laser confocal fluorescence micrographs were obtained on a Leica TCS SP5X. For DAPI imaging, the emission was observed at 421 nm with an excitation at 401 nm; and for FITC imaging, the emission was observed at 517 nm with an excitation 495 nm (Scheme 1).

## Results and Discussion

The redox-responsive poly(AMPD-BAC)-g-PEG was prepared by Michael-addition polymerization of diamine (AMPD; 4-aminomethyl piperidine), with an equimolar bisacrylamide (BCA; *N,N'*-bis(acryloyl) cystamine). It was reported that amino units in a diamine have different reactivity in Michael-addition polymerization in which the topology of polymers can be tuned simply by varying the molar ratio of diamine to bisacrylamide [15,54]. The secondary amines readily react with 4-nitrophenyl carbonate-activated PEG to form PEG-grafted poly (amido amine). The composition of poly(AMPD-BAC)-g-PEG was determined by <sup>1</sup>H-NMR measurement from the peak intensity ratio between the PEG (4.14 ppm) and AMPD-BAC (1.52 ppm) (Figures S1 and S2 of the Supplementary Information). The AMPD-BAC unit number per PEG was five. A vast literature on PEG for drug delivery shows that PEG stealth layers exhibit significantly reduced non-specific adsorption of protein, enabling a longer *in vivo* circulation duration and passive targeting of cancer cells which consequently improve drug-delivery efficacy [55-58].

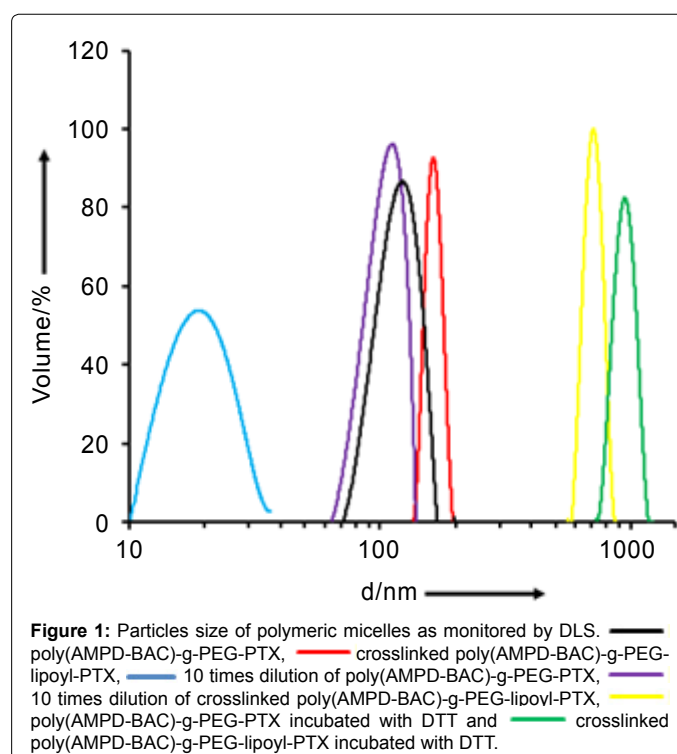
The 2'-[4-nitrophenyl carbonate] paclitaxel (NPC-PTX) was prepared from PTX to conjugate to poly(AMPD-BAC)-g-PEG. The 2-OH group poses less steric hindrance as compared to the 7-OH group of PTX, and it readily reacts with 4-nitrophenyl chloroformate to obtain a carbonate derivative reactive towards secondary amine groups of poly(AMPD-BAC)-g-PEG [52,53] (Figure S3 of the supplementary information). The presence of PTX peaks (phenyl proton signal at ~7.3-8.3 ppm) confirmed the binding of PTX to polymer (Figure S4 of the supplementary information). To determine the loading of PTX

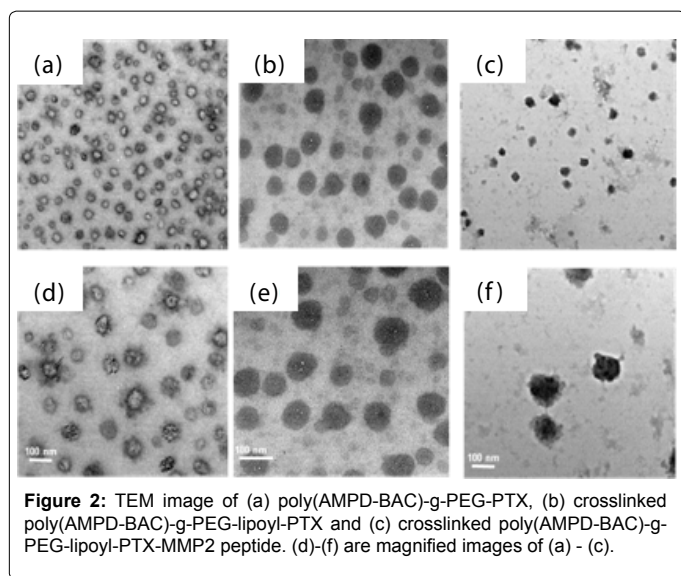
in micelles, the micelle solution was dissolve with acetonitrile. The solution was analysed for PTX content using HPLC. The level of PTX loading (w/w%) and encapsulation efficiency were calculated to be 1.9 wt% ( $\pm 0.23\%$ ) and 26.0 % ( $\pm 0.23\%$ ), respectively.

The subsequent reaction of lipoic acid anhydride with remaining secondary amines of poly (AMPD-BAC)-g-PEG in the presence of DMAP in CH<sub>2</sub>Cl<sub>2</sub> leads to a poly (AMPD-BAC)-g-PEG functionalized with lipoic acid. The conjugation of lipoyl units was determined by the observation of a signal at  $\delta$  3.1 ppm for methylene proton next to the disulfide bond of lipoyl unit. The composition of poly(AMPD-BAC)-g-PEG-lipoyl-PTX was estimated from the peak intensity ratio between the lipoyl unit (3.1 ppm) and AMPD-BAC (2.9 ppm) in NMR; each three AMPD-BAC unit was conjugated with approximately one lipoyl unit (Figure S5 and Figure S6 of the Supplementary Information).

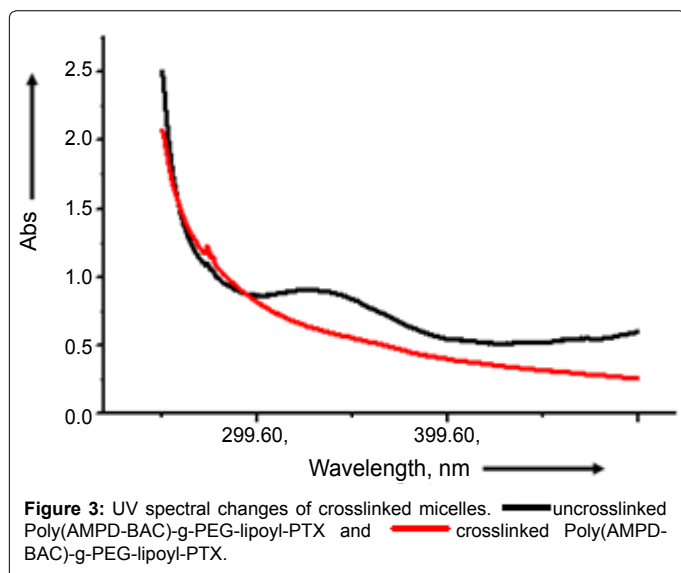
Polymer comprising of hydrophilicity-hydrophobicity segment is known to form micelles in aqueous media. In poly(AMPD-BAC)-g-PEG-lipoyl-PTX, PTX molecules bind to the hydrophobic part (AMPD unit) of polymer chain and readily assembles to polymeric nanoparticles. Due to the hydrophobicity of PTX, it is expected that PTX is encapsulated within the hydrophobic core of polymeric nanoparticles. A similar approach has also been reported to use for delivery of PTX with poly(ethylene oxide)-b-poly(caprolactone) that can protect PTX within the hydrophobic core of polymeric nanoparticles [59]. Crosslinked poly (AMPD-BAC)-g-PEG-lipoyl-PTX forms micelles with 124.2 nm average hydrodynamic diameter as determined by DLS (Figure 1). TEM was used to visualize the shape of particles. As can be readily observed, the average diameter of the spherical particles was 90 nm (Figure 2a). The crosslink between lipoyl units has been exploited to improve the stability of micelles. The disappearance of UV absorbance of lipoyl rings at 330 nm as shown in Figure 3 suggested micelles had been successfully crosslinked in the presence of small amount of H<sub>2</sub>O<sub>2</sub>.

Its average size was 167.4 nm as determined by DLS as shown in





**Figure 2:** TEM image of (a) poly(AMPD-BAC)-g-PEG-PTX, (b) crosslinked poly(AMPD-BAC)-g-PEG-lipoyl-PTX and (c) crosslinked poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 peptide. (d-f) are magnified images of (a) - (c).



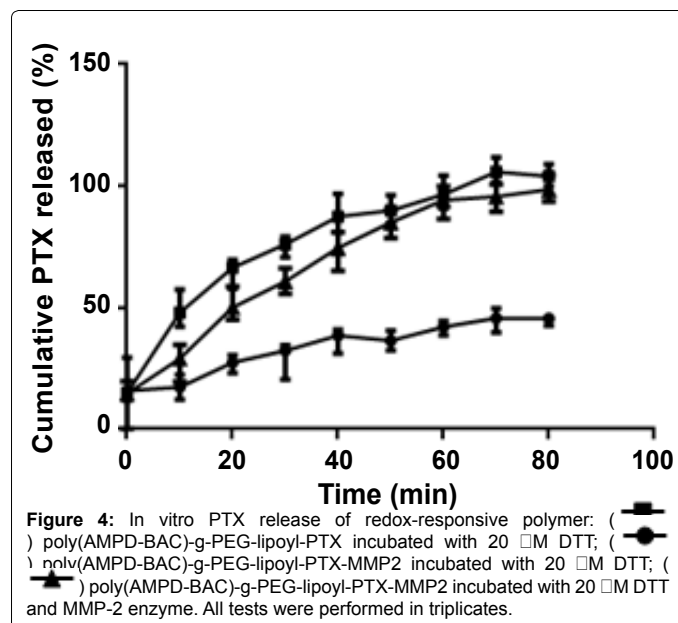
**Figure 3:** UV spectral changes of crosslinked micelles. — uncrosslinked Poly(AMPD-BAC)-g-PEG-lipoyl-PTX and — crosslinked Poly(AMPD-BAC)-g-PEG-lipoyl-PTX.

Figure 1. The morphology of crosslinked micelles determined by TEM clearly showed that these crosslinked micelles were spherical (Figure 2b). It is expected that crosslinked poly(AMPD-BAC)-g-PEG-lipoyl-PTX would be more resistant to dissociation induced by extensive dilution. The stability of micelles was examined by monitoring the changes in the size of micelles after 10 fold dilution. The changes in size of crosslinked micelles from 167.4 nm to 121.5 nm were relatively mild compared to its parent micelles from 124.2 nm to 19 nm, thus demonstrating that via crosslink, the stability of micelles is improved (Figure 1). These stable crosslinked micelles are eventually expected to dissociate through reductive degradation. Its degradation process was monitored by DLS in the presence of DTT. The results showed that the micelles size increased from 167.4 nm to 907.48 nm. The aggregation of micelle may be attributed to the detachment of PEG chains and subsequently changes the hydrophilicity-hydrophobicity equilibrium of micelles [60]. However, this redox-degradation is not specific because there is a chance of degradation of these stable micelles during delivery due to the presence of reducing agent in the blood stream. We improved the specificity of the degradation process of redox-responsive micelles

by adding non-redox responsive crosslinker to micelles to reduce dissociation of micelles in the presence of reducing agents (e.g. DTT, GSH.). Here, MMP-2-sensitive peptide acts as a stabilizer in the event that redox responsive backbone or crosslinker is degraded in a reductive environment. Therefore, the drug release is still in minimal amount in the absence of MMP-2 enzyme in which the real release of drugs by degradation of micelles could be catalyzed only in the presence of both MMP-2 enzyme and reducing agent.

Acrylated MMP-2 sensitive peptide was prepared through the reaction between primary amine of lysine in MMP-2 sensitive peptide and the *N*-hydroxy succinimide ester of acrylic acid *N*-hydroxy succinimide ester under mild condition. The NMR spectrum shows the characteristic proton of vinyl groups; ca. 5.6-6.4 ppm (Figure S7 of the Supplementary Information). MMP-2-sensitive peptide-shielded micelles were afforded through the Michael addition reaction of acrylate MMP-2-sensitive peptide with secondary amine groups of micelles. The successful conjugation of MMP-2-sensitive peptide was ascertained by comparing the <sup>1</sup>H NMR spectra of poly(AMPD-BAC)-g-PEG-lipoyl-PTX to that of poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 peptide, where characteristic peaks of MMP-2 peptide substrate were observed; in the spectrum of poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 peptide, the appearance of the 0.91 ppm which is observed in the spectrum of MMP-2 peptide substrate confirmed the conjugation reaction (Figure S8 of the Supplementary Information).

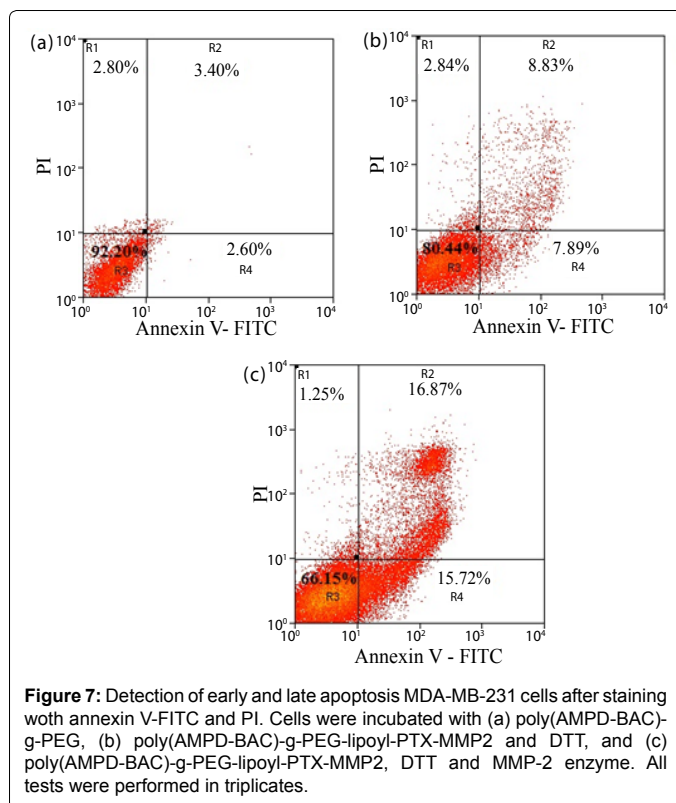
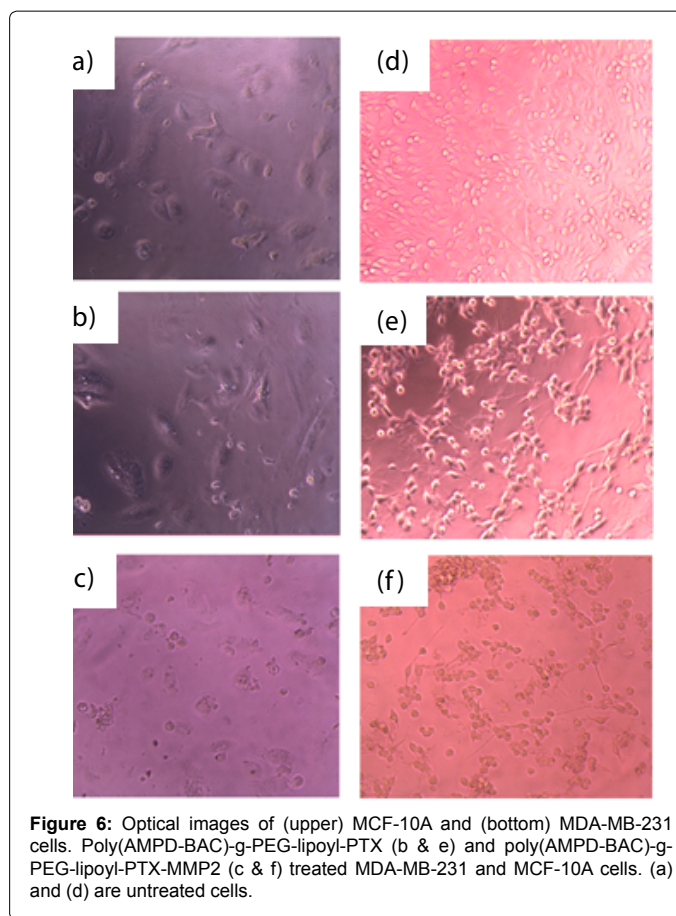
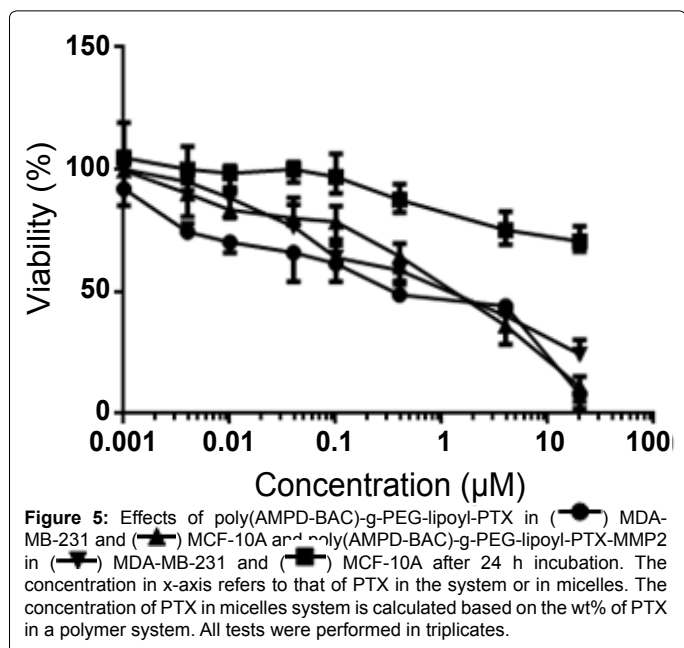
The average hydrodynamic diameter of poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 formed micelles was 158 nm as determined by DLS. To visualize the shape of the micelles, TEM images were obtained. From the TEM, spherical micelles were observed with an average diameter of 140 nm which is consistent with the DLS result (Figure 2c). The effect of MMP-2 sensitive peptide on PTX release was monitored by DLS over 80 mins in the presence of MMP-2 catalytic domains or DTT in PBS (Figure 4). In the presence of DTT alone, the PTX release of MMP-2 crosslinked micelles was significantly decreased by 40% compared to its micelles without MMP-2 peptide as crosslinker, thus demonstrating that the stability of micelles to DTT is improved. These stable MMP-2 crosslinked micelles were easy to dissociate through MMP-2 enzyme cleavage and DTT reductive degradation. The results showed that in



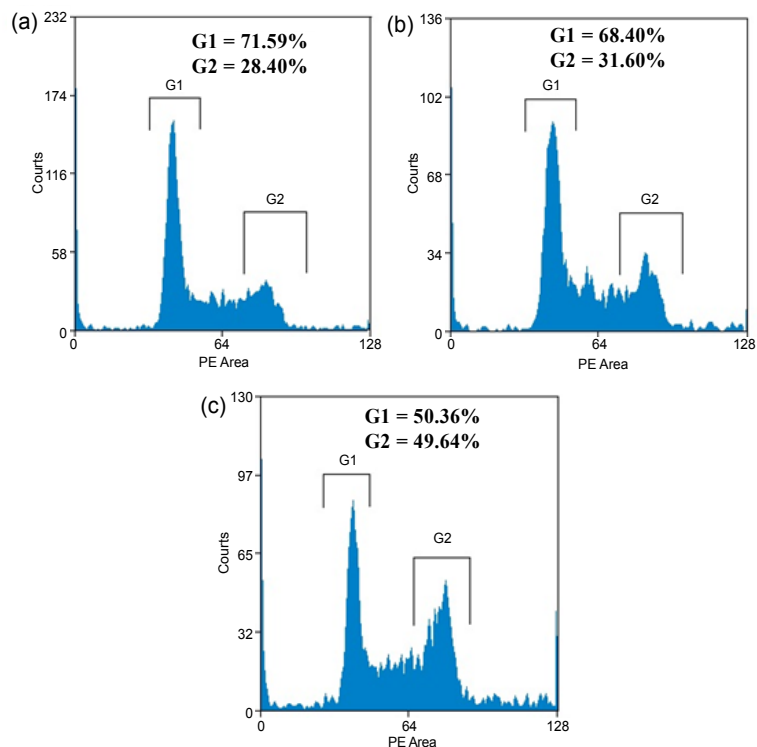
**Figure 4:** In vitro PTX release of redox-responsive polymer: (●) poly(AMPD-BAC)-g-PEG-lipoyl-PTX incubated with 20 μM DTT; (○) poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 incubated with 20 μM DTT; (▲) poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 incubated with 20 μM DTT and MMP-2 enzyme. All tests were performed in triplicates.

the presence of MMP-2 and DTT, the PTX release was increased. The decreased of PTX release in the absence of MMP-2 is caused by micelles stabilised by MMP-2 sensitive peptide, whereas the greater PTX release was observed in the presence of MMP-2. This indicated that the PTX release could be controlled by the incorporation of MMP2 sensitive peptide crosslinker. Such variation in the PTX release can be exploited to improve the delivery of therapeutic agents.

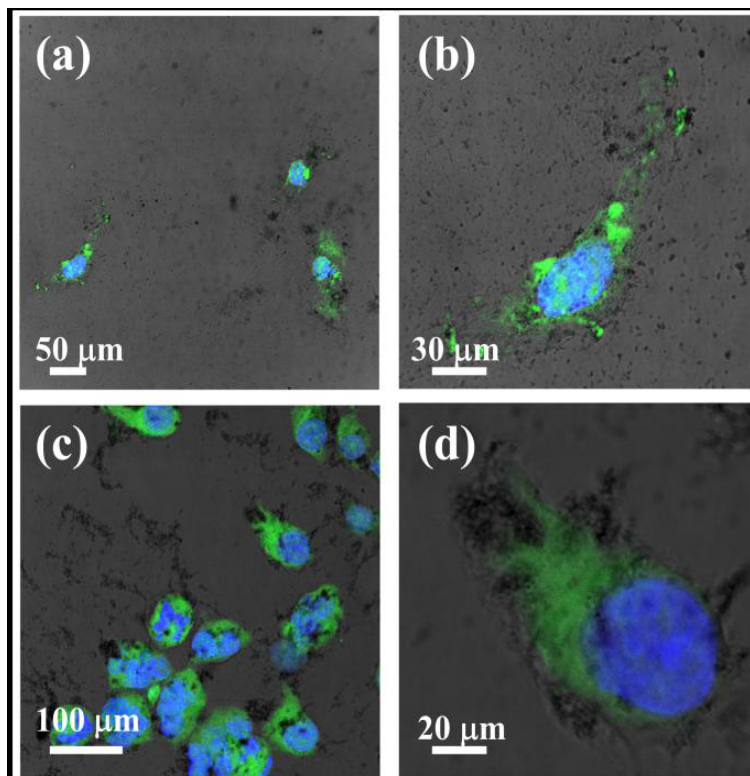
The cytotoxicity of MMP-2 crosslinked micelles was determined by MTT assay for cell proliferation activity against two cell lines, viz., breast carcinoma (MDA-MB-231) and a normal breast epithelial cell line (MCF-10A). MDA-MB-231 is reported to highly express MMP-2 enzyme [61-64]. Both crosslinked poly(AMPD-BAC)-g-PEG-lipoyl-PTX and poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles showed appreciable cytotoxicity for MDA-MB-231 (IC50 for poly(AMPD-BAC)-g-PEG-lipoyl-PTX and poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 are 5.79  $\mu$ M and 8.65  $\mu$ M, respectively), whereas crosslinked poly(AMPD-BAC)-g-PEG-lipoyl-PTX micelles showed little selectivity; poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles showed significantly different cytotoxicity with the normal epithelium cell line (Figure 5) (IC50 for poly(AMPD-BAC)-g-PEG-lipoyl-PTX and poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 are 9.79  $\mu$ M and 17.25  $\mu$ M, respectively). These results were also reflected in the morphological changes of the cells (Figure 6); poly (AMPD-BAC)-g-PEG-lipoyl-PTX caused significant morphological changes and cells number reduced for both MDA-MB-231 and MCF-10A, whereas MMP-2 crosslinked micelles significantly affected MDA-MB-231 and not MCF-10A. This is consistent with the induction of apoptosis. Flow cytometry using FITC-conjugated annexin V and propidium iodide staining showed that early and late apoptosis cell population (FITC stained) was increased from 7.89 % to 15.72 % and 8.83 % to 16.87 % in the presence of MMP-2 enzyme (Figure 7). Flow cytometric analysis for DNA fragmentation also exhibits greater cell cycle arrest at the G2 phase in the presence of MMP-2 enzyme; 49.64 % compared to 31.60 % and 28.40 % for the absence of MMP-2 enzyme and control, respectively. PTX is known to show S phase cell cycle arrest [65] (Figure 8). The efficacy of crosslinked poly (AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles was particularly interesting. A possible reason may be that in







**Figure 8:** Cellular DNA content analysis of MDA-MB-231 cells after incubation with (a) poly(AMPD-BAC)-g-PEG, (b) poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 and DTT, and (c) poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2, DTT and MMP-2 enzyme. All tests were performed in triplicates.



**Figure 9:** Fluorescence microscopic images of (a) MCF-10A and (c) MDA-MB-231 cells incubated with FITC-labelled poly(AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 (green) for 24 h, and stained with DAPI (blue) stains helped localized the cell nuclei. (c) and (d) are magnified images of cell in white box of (a) and (c).



MDA-MB-231, the MMP-2 sensitive peptide was cleaved by MMP-2, and the degradation of micelles was subsequently accelerated by redox degradation. This is alluded by the degradation study, which showed that the degradation rate of crosslinked poly (AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles in the presence of MMP-2 was increased.

The interaction of crosslinked poly (AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles with cells was confirmed through visualisation via fluorescence confocal microscopy using FITC-labelled crosslinked poly (AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles. We found that both MCF-10A and MDA-MB-231 cells showed strong fluorescence as shown in Figure 9, suggesting that poly (AMPD-BAC)-g-PEG-lipoyl-PTX-MMP2 micelles can be easily transported into cells and amount of uptake of micelles is not the critical part for the selectivity of micelles to cancer cells. In fact, the drug release by degradation process inside cells is critical. Although the amount of micelles being uptaken is not significantly different in both scenarios, the rate of degradation is expected to be different. The reason is that due to the presence of MMP2 enzyme in extracellular and intracellular of cancer cells, the MMP2 crosslinker of micelles is removed, and the subsequent exposure of GSH accelerates the degradation of micelles which in turn induces a high concentration of PTX being release inside a cancer cell.

On the other hand, the MMP-2 crosslinker is expected to keep the micelles binded together in normal cells where MMP2 enzyme expression is low, and thus there would be a subsequently slower PTX release even in the presence of GSH. This explanation is in line with the micelles degradation, cytotoxicity studies and fluorescence imaging results. The increased activity of drug release in cancer cell points us in the direction to potentially overcome non-specificity issues and premature drug release, by stabilized redox-responsive micelles with MMP-2.

## Conclusion

In conclusion, we developed a specific degradation system. The reactivity of secondary amines of poly (amidoamine) s was utilized to conjugate poly (ethylene)glycol, PTX and MMP-2 sensitive peptide. The MMP-2 sensitive peptide crosslinked micelles were expected to show improved stability even in the presence of reducing agents, but rapidly dissociated in the presence of both MMP-2 enzyme and reducing agents. This work serves to illustrate that varied degradation rate of redox-responsive micelles is possible, and such a variation in the degradation rate may be exploited to improve the delivery of therapeutic agents.

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