

# What is the Logic behind Treating Some Heart Failure Patients without ACE Inhibitors and Beta-Blockers?

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Local audit of National Heart Failure Audit data 2012 in Sheffield Teaching Hospitals NHS Foundation Trust, UK

## Abstract

**Objectives:** To obtain local data on the reasons why hospitalised heart failure patients are not being given a combination of beta-blockers (BB) and Angiotensin Converting Enzyme inhibitors (ACE –I).

**Method:** A survey was carried out of the heart failure patients discharged in the year 2012 from Sheffield Teaching Hospitals and reported to the National Heart Failure Audit who was not on ACE-I or BB. The main measures were the reasons for not giving those agents, and the use of alternative medications.

**Results:** The total number of our heart failure patients who were reported to the National Heart Failure Audit in 2012, and who were not on ACE-I or BB was 96 patients. Of these, 38 patients (40%) had heart failure with preserved ejection fraction (HFPEF), and 58 patients (60%) had left ventricular systolic dysfunction (LVSD).

Of the 58 patients with HF-LVSD, 25 patients did not have contraindications to either ACE-I or BB. However, 2 of them were on end of life care pathway (EOLCP) and thus were appropriately managed, this leaves 23/98 (23.5%) of overall patients managed inappropriately. Contraindications to or adverse effects from ACE-I or BB were encountered in 35/58 and 15/58 of the patients, respectively.

**Conclusion:** HFPEF is the main reason for not using BB and ACE-I in heart failure patients, followed by contraindications to these agents. ACE inhibitors had higher rate of adverse effects than beta blockers.

**Keywords:** ACE inhibitors; Beta-blockers; Heart failure

## Introduction

The morbidity and mortality rates of patients with heart failure have progressively fallen through the cumulative effects of several classes of agents including angiotensin converting enzyme inhibitors (ACE-I), beta-blockers (BB), aldosterone antagonists (mineralocorticoid receptor antagonists, MRA), combined arterial and venous dilators (combined hydralazine and nitrates, Hyd+N) and angiotensin receptor blockers (ARB) [1]. These advances have been achieved in the treatment of heart failure associated with reduced left ventricular ejection fraction or HF with LVSD, which comprises almost 50% of the heart failure patient population in the community and around 65-70% of hospitalised heart failure patients. However, there is no evidence to support their use in HFPEF patients [2-4]. The evidence supports the use of both ACE inhibitors and beta-blockers licensed for heart failure to all patients with heart failure due to left ventricular systolic dysfunction as a first line treatment [5-10].

The National Heart Failure Audit published in December 2010 showed that the prognosis of patients hospitalised with heart failure remains poor and the treatment is suboptimal, but could improve with specialist services [11]. The audit showed particularly that beta-blockers were much less used in heart failure patients than ACE inhibitors. Although the results from our institution were better than the average reported nationally in the last three years, there were a stable percentage of patients reported by our institution who did not receive these two agents in both 2011 and 2012. Thus, we posed the question as to whether there were good reasons for not using ACE inhibitors and beta-blockers in some of the patients with heart failure, to explain the plateau that we seem to have reached.

We audited whether those who have heart failure and were not discharged on these approved first line medications had good justification for not being offered such therapy?

## Method

A secure data-base called Infoflex is adopted by heart failure service in Sheffield Teaching Hospitals NHS Foundation Trust was our source of information. A retrospective study was performed on the cohort group of heart failure patients who were discharged in the year 2012 from our institution with heart failure and were reported to the National Heart Failure Audit, but were not on ACE inhibitors and beta-blockers. All age groups were included in the audit. The gender of the patients had no impact on the choice of medication for heart failure patients.

A special proforma was used to collect the data (Figure 1). The focus was on 4 fields in Infoflex data base, those were: patient details, discharge medications and summary, transthoracic Echocardiography reports and heart failure multidisciplinary team (MDT) letters.

For each of the two drugs, the patients who did not receive the drug were identified from the database and from the log of the patients reported to the National Heart Failure Audit. The purpose of the study was to assess which patients did not have left ventricular systolic dysfunction and did not qualify for the therapy (group A), then to assess the patients who did have LVSD (group B).

In group B we studied the reasons for not giving first line agents

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Reservation Deck

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### HF Discharged not on ACEI/BB Audit Data Collection Proforma

1. Hospital number	<input type="text"/>			
2. Age	<input type="text"/>			
3. Date of discharge	<input type="text"/>			
4. LVSD	Yes	<input type="radio"/>	No	<input type="radio"/>
If No, then the lack of ACEI/BB is appropriate and no further questions are needed				
If Yes, then go to question 5				
5. On ACE-i on discharge	Yes	<input type="radio"/>	No	<input type="radio"/>
6. If no, is it contraindicated?	Yes	<input type="radio"/>	No	<input type="radio"/>
7. If no, on alternate first line Mx?	Yes	<input type="radio"/>	No	<input type="radio"/>
8. On BB on discharge	Yes	<input type="radio"/>	No	<input type="radio"/>
9. If no, is it contraindicated?	Yes	<input type="radio"/>	No	<input type="radio"/>
10. Alternate Mx used	<input type="text"/>			
12. Comments	<input type="text"/>			

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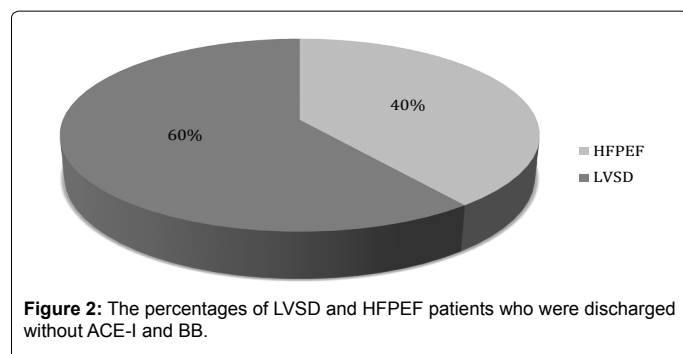
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**Figure 1:** Sample of data collection pro-forma.

for heart failure. The data were collected from discharge summaries and MDT letters. Then we looked at the use of alternatives to first line medications. We calculated the percentage of patients on each drug group, then the percentages of subgroups who had true

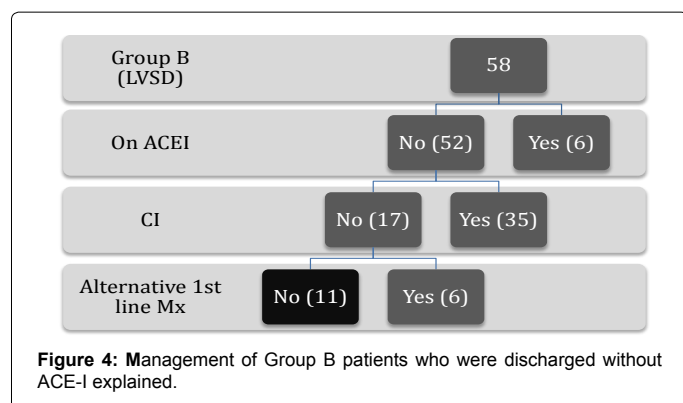
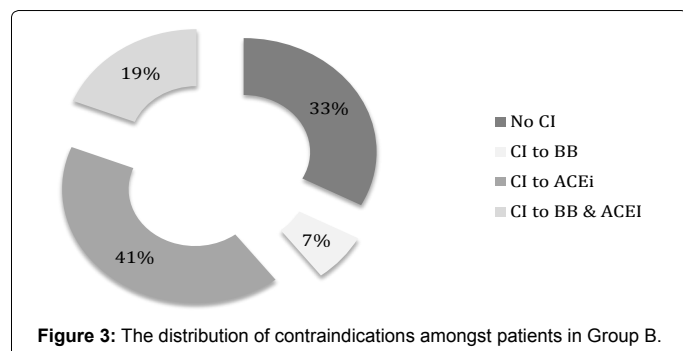
contraindications, and those who did not and were considered as managed inappropriately.

We looked at group B patients and compared two subgroups, patients not on ACE inhibitors and patients not on beta-blockers. We



Contraindications						
ACE-i	Kidney disease	Intolerance	Hypotension	Hyperkalaemia	Severe Aortic stenosis	allergy
Beta-blockers	Asthma	COPD with wheezing	Bradycardia	Hypotension	Complete heart block	2 <sup>nd</sup> degree heart block

**Table 1:** Contraindications of ACE-I and BB found in Group B patients.



ascertained the percentage of those with adverse effects, the use of alternative first line medications to ACE-I [12-15], the use of second line medications [16-18] and the use of single first line agents (either ACE-I or BB).

This is an observational audit since no intervention was undertaken, it was not necessary to seek ethical approval, although approval from the audit department was granted. All data collection forms were anonymised.

## Results

We identified 96 patients from the heart failure data-base who were reported to the National Heart Failure Audit and discharged from

Sheffield Teaching Hospital without being on the combination of ACE inhibitors and beta blockers in 2012.

Our standards were obtained from National Institute of Health and Care Excellence (NICE) guidelines No 108 August 2010, summarised in the following statements [1]:

- Offer both angiotensin-converting enzyme (ACE) inhibitors and beta-blockers licensed for heart failure to all patients with heart failure due to left ventricular systolic dysfunction. Use clinical judgment when deciding which drug to start first [9,10].
- Offer beta-blockers licensed for heart failure to all patients with heart failure due to left ventricular systolic dysfunction, including: older adults and patients with peripheral vascular disease, erectile dysfunction, diabetes mellitus, interstitial pulmonary disease and chronic obstructive pulmonary disease (COPD) without reversibility [5-8].
- The evidence was inadequate to support the use of ACE-I in HFPEF [2,3].
- There are no studies that specifically looked at the use of beta-blockers in the treatment of HFPEF [4].

We identified group A patients who had HFPEF from the cohort group, the total number was 38 patients ( $38/96=40\%$ ), then group B patients who had LVSD and the number was 58 ( $58/96=60\%$ ) (Figure 2).

As group B patients had to have first line therapy for heart failure due to LVSD, we looked for justification for the failure to achieve the standard was justifiable. The main factors were contraindications to first line agents, as summarized in Table 1.

Within group B: The patients who had contra-indications to both agents was 11/58 (19%), the patients who had contra-indications to ACE inhibitors only were 24/58 (41%) and the patients who had contra-indications to beta-blockers only were 4/58 (7%). Thus, although these patients were not receiving first line therapy, they are considered appropriately managed because they had genuine contra-indications to the first line therapy.

Within group B, the number of patients who did not have contraindications to either of the two first line agents (ACE-I and BB) was 19/58 (33%) (Figure 3).

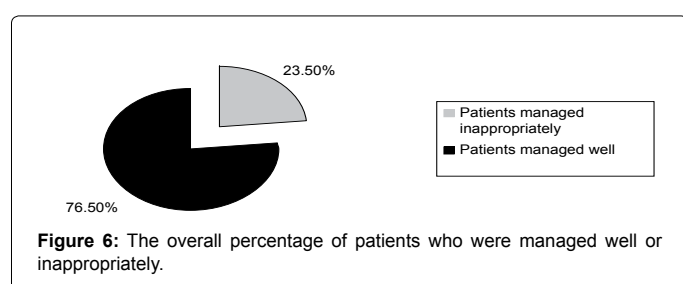
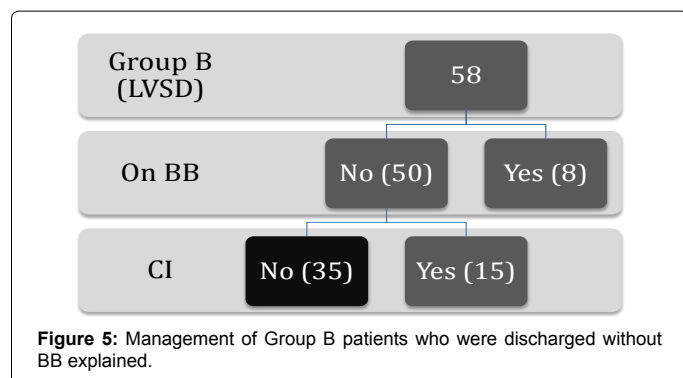
We looked at the use of alternative first line agents in patients who did not receive ACE inhibitors in group B (Figure 4).

Although 6 patients (of the 58 patients in group B) did receive alternative agents, these patients did not have contraindications to the main agents, thus they were considered as managed inappropriately. This would leave 11/58 patients without ACE-I or alternative 1st line medications.

On the other hand, in (Figure 5) 35/58 patients were qualified to be on beta-blockers but were not given them. Of these 35 patients, there were 27 patients who did receive ACE inhibitors. Thus they were not mismanaged. This leaves 8/58 without any 1st line agents.

Therefore, within group B patients the total number of patients who qualified for BB and ACE-I but didn't receive any was  $6+11+8=25/58$  (43%).

The discharge summaries and heart failure MDT letters were reviewed, and we found that there were 2 patients who were commenced on end of life care pathway (EOLCP) as they had very poor prognosis



	Not on ACE-i	Not on BB
<b>Adverse effects</b>	32/52 (61.5%)	15/50 (30%)
<b>Use of alt 1<sup>st</sup> line Mx to ACE-I</b>	12/52 (23%)	9/50 (18%)
<b>Use of 2<sup>nd</sup> line Mx</b>	15/52 (28.8%)	15/50 (30%)
<b>Use of single 1<sup>st</sup> line Mx</b>	7/52 (13.4%)	6/50 (12%)

**Table 2:** Comparing side effects and the use of alternative agents between patients who did not receive ACE-I and the ones who did not receive BB.

and were appropriately not given any of these medications. Therefore, 23/58 (39.6%) of the patients were managed inappropriately, and the percentage from the total cohort group of 98 patients would be 23/98 (23.5%) (Figure 6).

Finally, we compared two subgroups in group B, patients not on ACE-I or not on BB, regarding side effects and the use of alternative medications (Table 2).

In the analysis of group B, the striking finding is that the rate of side effects to ACE inhibitors was twice as high as the rate in patients on beta-blockers.

The use of alternative first line medications to ACE-I was higher in patients who did not have ACE inhibitors than the ones who did not have beta blockers.

Finally, the use of second line medications or continuing use of a single first line agent remained fairly similar in both groups (Figure 7).

## Discussion

This was a local audit reviewing the reasons why first line therapeutic agents were not given to patients hospitalised with heart failure. Although our centre is achieving rates higher than the national average of applying evidence based therapy in heart failure, there were strong reasons for not using these agents in some of the patients at the time of discharge. When the failure to prescribe a first line agent to a patient with heart failure is justified by the presence of absolute contra-indication then the management is considered appropriate.

We have had several limitations; one was the small size of the

cohort. This is something we do not need to apologise for as the normal good practice should be associated with only a small group of patients in whom the treatment deviates from the guidelines.

We noted discrepancy between the discharge medication list and the advice by the heart failure specialist proposed in the heart failure MDT. We have not specifically analyzed whether the clinicians' decision to deviate from the HF MDT advice was clinically justified or not. We noted several patients had received HF MDT advice not to commence on ACE inhibitors or beta-blockers. These decisions are due to contraindication to these agents.

One of the patients died before having a trans-thoracic echocardiogram that was scheduled to be done as an out-patient and thus it was not possible to assign the patient to either group A or B. This was, however, an anomaly as the echocardiogram should have been done as an inpatient, or failing that it would have safer to have commenced the patient on ACE-I and BB and these agents could be withdrawn if the echocardiogram did not show LVSD.

We have also noted in the review that patients were on one of the two vasodilators hydralazine or nitrates, rather than being on the combination of these agents. There is no evidence to support the use of one of the two agents as a single therapy in patient with heart failure LVSD, therefore these patients were deemed as not being managed well, unless hydralazine was being given to control hypertension and nitrates were being given to treat angina as co-morbidities [1,16].

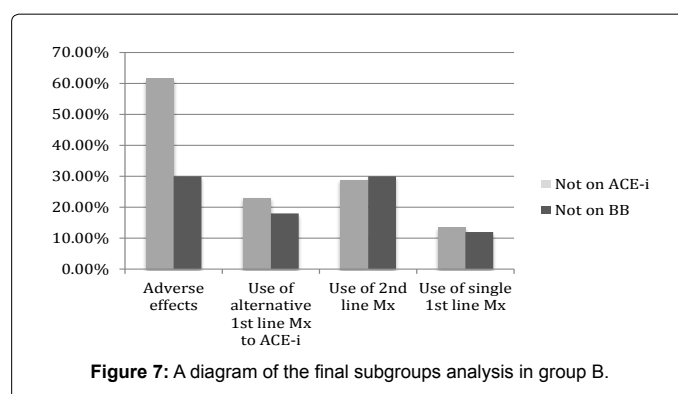
The main reasons for not being given the combination of ACE inhibitors and beta-blockers in patients hospitalised with heart failure were having HFPEF [2-4] or the presence of contraindications to those agents. Our specialist heart failure team reviews these patients and reconsiders the contraindications regularly. The agents are considered for re-introduction whenever possible.

Although adverse effects were much more common in the ACE inhibitors group, some patients in this group were started on alternative first line medications [19,20].

The use of beta-blockers was suboptimal although their side effects were much less than ACE-I. Despite the evidence supporting the use of beta-blockers licensed for use in heart failure due to LVSD, there are some physicians who hesitate to prescribe them.

We have also found that the use of alternative first and second line therapy still suboptimal.

We propose that the data collection by the National Heart Failure Audit should include comments about the reasons why certain agents were not being given to patients with heart failure. This will improve the



assessment of the performance of individual centres and may help give a more informed picture of the care offered to heart failure patients. Such detailed reporting of the results will enable the clinicians to clarify whether not prescribing certain medication is justified or not. This will also make the comparison between centres more reliable and would allow centres to have a more accurate assessment of the extent of under-achievement of the quality standards of caring for heart failure patients.

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## Conflict of Interests

Contributors Each of the authors helped in collection of the data. EA and AAM planned the audit, analysed the data and wrote the manuscript.

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