

## Laboratory Safety of Capsaicin Inhalation in Healthy Younger and Older Populations Potential Template for Inhalation Research

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### Abstract

**Introduction:** There are inconsistencies as to the effects of age on the human cough reflex. The investigation speaks to the Federal Drug Agency's (FDA) trepidations for conducting inhalation experiments with non-approved medications/chemicals. The investigation addresses the accuracy of the mixing methodology and analysis of capsaicin stability during seven months of storage.

**Methods:** There is stringent safety monitoring while conducting 12 serial (0.49-1000 uMol) single breath capsaicin inhalation challenge testing (CICT) on 20 younger and 20 older healthy research volunteers using pharmaceutical-grade capsaicin (i.e., Investigational New Drug protocol-IND 69,642). The research design and subject safety measures are recommended by the Food & Drug Agency (FDA) and approved by the Institutional Review Board (IRB). Mixing of inhaled capsaicin solutions are by a Registered Pharmacist and concentration verifications are by high performance liquid chromatography (HPLC). Potency of stored capsaicin (i.e., refrigerated and shielded from UV light) is examined over 7 months.

**Results:** There are neither adverse reactions nor statistically significant difference in capsaicin cough parameters for older and younger volunteers at any dose of capsaicin. Physiologic monitoring by spirometry, impulse oscillometry, exhaled breath nitric oxide, electrocardiography, blood pressure, pulse and oxygen saturation measurements do not change at any dose. There are differences between the concentrations of capsaicin solutions mixed by a Registered Pharmacist and actual capsaicin determination by HPLC. The differences in capsaicin concentrations are 28.1% lower for 0.49 uMol compared to a 2.2% lesser concentration for 1000 uMol solution. During storage, capsaicin remains stable for 3-months but substantially falls by six ( $p < 0.03$ ) and seven ( $p < 0.004$ ) months, especially for the lower concentrations.

**Conclusion:** The results of this investigation embrace the safety of serial Inhalations of dilute pharmacologic grade capsaicin aerosol among older and younger normal volunteers. Incorporating rigorous FDA recommendations, inclusion of IRB oversight and monitoring by spirometry, impulse oscillometry, exhaled breath nitric oxide, electrocardiography, blood pressure, pulse and oxygen saturation measurements document inhalation safety at any dilute capsaicin aerosol dose. However, there are lower capsaicin values determined by HPLC compared to expected capsaicin concentrations when mixed by a Registered Pharmacist or after six months of storage. The investigation champions the opinion that inhalation studies involving FDA non-approved drugs or chemicals/medications can be safely conducted when following the appropriate safety procedures such as in this research protocol.

**Keywords:** Capsaicin; Cough; Cough reflex; Inhalation agents; Inhalation challenge; TRPV1 receptor

### Introduction

The human cough reflex is one of the most important mechanisms in protecting the respiratory tract from aspiration of foreign substances, fluid, microbial agents or objects as well as clearing aspirated materials and endogenously produced mucus from the respiratory tract [1]. Early explorations recognize the molecular integrator through which capsaicin-induces cough by binding to the transient potential receptor vanilloid, TRPV<sub>1</sub> [1,2]. Capsaicin binding to the TRPV<sub>1</sub> receptor propagates nervous impulse through non-myelinated C-fibers initiating the human cough reflex. Customarily, serial inhalations of dilute capsaicin solutions are the principal method

for researching the human cough reflex (i.e. capsaicin inhalation challenge test, CICT) [2-5].

The current investigation addresses FDA safety concerns by conducting capsaicin inhalation experiments on healthy old and young research volunteers using a pharmaceutical-grade capsaicin Investigational New Drug protocol (IND 69,642). The subject safety procedures are instituted and there is approval by the Institutional Review Board (IRB). The experimental protocol incorporates detailed human safety precautions. Inhaled capsaicin solutions are mixed by a Registered Pharmacist. Prepared doses are analyzed by high performance liquid chromatography (HPLC) before administration. Finally, the storage stability of capsaicin solutions over a seven month period, refrigerated at 4°C with protection against ultraviolet light is examined.

## Methods

### Human inhalation studies

**Capsaicin inhalation challenge test (CICT):** Vials mixed by a Registered Pharmacist are removed from the refrigerator 30 minutes before testing so that the mixture warms to room temperature before use. Then, one ml of the first (lowest concentration of 0.49  $\mu$ M) concentration is inserted into the nebulizer, using a sterile syringe, with subsequent employment of the CICT procedure as described by Dicipinigaitis et al. [6]. The methodology necessitates that the subject takes just a single breath of capsaicin aerosol starting from end-tidal exhalation (i.e., functional reserve capacity) to deep inspiration (i.e., total lung capacity). Delivery of Capsaicin aerosol is by a DeVilbiss nebulizer controlled by a dosimeter (KoKo DigiDoser Spirometer, nSpire Health Integrated Respiratory Information System, Longmont, CO 80501). The dosimeter contains an automated dosing device incorporated into the spirometer along with a precise, software-controlled aerosol dosing process. Dry compressed air is used to power

the nebulizer, and the pressure regulator is set to 50 lb./in<sup>2</sup>. Flow meter accuracy is checked with a rotameter. An inspiratory flow regulator valve limits the inspiratory flow rate to 0.5 liters per second guaranteeing a consistent and reproducible inspiratory effort with each breath. The nebulizer output is determined to be 1.007 mL/minute and the duration of the aerosol delivery is 1.2 seconds; each breath delivers 0.02 mL capsaicin aerosol. Single breaths of capsaicin are delivered in ascending order, with normal saline solution randomly interspersed to increase challenge blindness, until two or more coughs ( $C_2$ ) and five or more coughs ( $C_5$ ) are reached over the next 15 seconds after the dose is delivered. Overall, reproducibility with this method is shown to be quite good, with 90-100% of challenges yielding  $C_2$  and  $C_5$  values within two doubling concentrations [6,7].

**Subject inclusion criteria:** Specific research volunteer inclusion criteria insure subject homogeneity and normalcy as shown in Table 1. The informed consent is signed before any study-related test or procedure is performed. After signing the informed consent, screening tests are accomplished to establish that a subject is “healthy” and meet the requirements of the study.

1	Men of ages 18 and 30 (young) or 55-92 (old) years old.
2	Not current cigarette smoker. If an ex-smoker then no smoking $\leq$ 10 years and consumption of $\leq$ 10 pack years.
3	Volunteers for the study are willing to sign informed consent.
4	Absence of abnormal screening tests.
a.	Responses to the questionnaire denying current and prior respiratory diseases (including asthma, emphysema, chronic bronchitis, sinusitis and interstitial lung disease) and no current respiratory complaints (e.g., cough, wheezing, shortness of breath, allergic rhinitis and sinusitis). Subjects must not be taking any cardiac medications or admit to a physician-diagnosed cardiac or respiratory condition.
b.	Shows “normal” spirometry with FEV <sub>1</sub> & FVC $\geq$ 75% predicted and FEV <sub>1</sub> /FVC $\geq$ 69%
c.	Impedance oscillometry (IO) is within normal limits
d.	There is a “negative” physical examination of the chest with absence of wheezing and/or crackles on auscultation of the chest.
e.	Exhaled Nitric Oxide concentration is $\leq$ 35 ppb for younger and $\leq$ 65 ppb for older groups.

**Table 1:** Inclusion Criteria

**Spirometry:** Spirometry measurements are performed according to American Thoracic Society specifications [8]. Predicted values are taken from Hankinson and co-workers studies [9]. Spirometry employs a KoKo spirometer using a pneumotachograph in order to provide flow-volume loops and volume-time graphics; and, there is multiple incentive graphics for patient coaching (nSpire Health Integrated Respiratory Information System; KoKo<sup>®</sup> Pulmonary Function, Longmont, CO 80501). Spirometry is performed during screening and following each dose of capsaicin. Measurements include the forced vital capacity (FVC), forced expiratory volume during the first second of forced exhalation (FEV<sub>1</sub>), and FEV<sub>1</sub>/FVC%. The best value of at least three maneuvers is expressed as a percentage of the predicted value.

**Impulse oscillometry system (IOS):** Measurement of airway resistance by impulse oscillometry (IO) employs the Jaeger MasterScreen IO (JAEGGER, Inc. – Hochberg, Germany). The foundation of IO is based on the application of small pressure oscillation (1 cm H<sub>2</sub>O) at the mouth during spontaneous breathing and on the measurement of the respiratory resistance (respiratory impedance), at a frequency higher than the breathing rate [10,11]. The

IO method can differentiate between proximal ( $R_5$ ) and distal airways ( $R_{20}$ ) resistance as well as measures airway resistance at resonance frequency ( $F_{RES}$ ). A significant change is deemed  $\geq$  10% increase in  $R_5$  or  $R_{20}$ . IO maintains an advantage over spirometry since multiple repeated maximal forced expiratory efforts are not required; frequent forced expiratory exhalation maneuvers can be difficult for older and very young subjects). Additionally, IOS holds value for bronchial challenge testing [10].

**Exhaled breath Nitric oxide (E<sub>NO</sub>):** Measurements of E<sub>NO</sub> are made during screening and at the end of the CICT. E<sub>NO</sub> use is to identify potentially unrecognized lung disease. Preliminary E<sub>NO</sub> concentration became a subject’s inclusion criteria Table 1. The on-line measurements of E<sub>NO</sub> is made using a chemiluminescence analyzer (Sievers, NOA™ 280i; Boulder, CO), which is sensitive to NO concentration range of ~0.5 ppb to 500,000 ppb. Calibration involves generating NO-free calibration gas by passing ambient air through a NO scavenger device containing potassium permanganate (KMnO<sub>4</sub>) and charcoal. Daily calibrations of the analyzer are completed prior to measurements using zero NO air and NO gas of 45 parts per million (ppm) (Sievers; Boulder, CO). Testing follows the guidelines of the American Thoracic

Society [12]. The subject sits comfortably and has a mouthpiece adjusted to the proper height and position without use of nose clips. After tidal breathing, the subject inhales to total lung capacity and then exhales at a flow rate of 0.05 L/second against a fixed expiratory resistance. This technique insures velum closure and eliminates possible contamination with upper airways nitric oxide. There is achievement of a constant flow rate through biofeedback by showing a target flow rate on a computer display. With this biofeedback approach, all subjects are able to maintain the desired expiratory flow rate. The duration of exhalation continues for at least six seconds until there is achievement of a three-second plateau. There is repetition of exhalations in order to obtain at least two NO plateau values that agrees within 10% of each other and the exhaled NO concentration is calculated as the mean of two values.

**Symptom questionnaire:** There is incorporation of a Symptom Questionnaire (SQ) at baseline and again after each capsaicin dose; SQ is also administered at one and five days after the CICT. The questionnaire is a modification of one used by Ternesten-Hasseus [13]. For each category of symptom, there is an intensity value based on a four-item Likert scale: (4) Very much; (3) moderate amount; (2) very little; (1) none. Therefore, the highest score on the "amount" scale is "4"; the lowest score representing no exposure (none) is "1." The questionnaire asks, "At this point in time, to what degree do you note the following symptoms?" (1) Heaviness or difficulty in your breathing; (2) mucous production; (3) runny or irritated nose or nasal passages; (4) throat irritation or burning sensation; (5) sensation of a "weight" or tightness of the chest; and, (6) feeling of chest burning.

**Monitoring of subjects during CICT:** SQ is completed after each inhaled capsaicin dose. Monitoring of the subjects' responses throughout the CICT includes gaging pulse rate and blood pressure, noting electrocardiogram tracing and assessing percentage oxygen saturation. There is the determination of  $E_{NO}$  at baseline and after the final capsaicin dose. A follow-up telephone survey administers the SQ at 24 (1 day) hours and 120 hours (5 days) following the CICT. During each telephone call, the subject is asked the 6 questions from the SQ and the results are compared to the baseline and post-CICT responses. Any subject reporting persistent symptoms of category #4 (i.e., very severe) for two or more items, compared to the baseline, is asked to return to for further evaluation. There is examination of the nose and throat and auscultation of the chest. A spirometry tracing will be obtained. IO  $E_{NO}$  measurements are obtained.

**Adverse events:** (serious and / or "unexpected adverse event"): Subject showing one or more of the following post-capsaicin challenge manifestation (at any capsaicin dose) is judged to display an "unexpected adverse effect:" (1) persistent wheezing on auscultation of the chest; (2)  $\geq 12\%$  fall in FEV1 or FVC compared to baseline; (3) respiratory resistance (by IO) of  $\geq 4$  times the baseline value; (4) continuing complaint of #4 severity for at least 2 items of the SQ; (5) persistent elevated exhaled ENO  $\geq 2\frac{1}{2}$  times baseline value. Subjects deemed to have suffered an "unexpected adverse event" will undergo one week of treatment with an inhaled corticosteroid and aerosol bronchodilator. After 1 week of treatment, subjects would be reevaluated in a similar manner as above.

**Reason to terminate study:** Termination of the study will occur if at least five (5) subjects suffer an "unexpected adverse event". The 5 value represents 12.5% of a study population of 40 persons.

**Emergency response:** The investigators are trained in life-saving procedures. Emergency response equipment is present in the laboratory at the time of testing.

## Capsaicin Preparation and Measurement

### Pharmaceutical grade capsaicin

Purchase of pharmaceutical grade capsaicin, acceptable to an FDA protocol, derives from Formosa Laboratories Inc. and stored at  $-20^{\circ}\text{C}$  until use. (Formosa Laboratories, Inc. Taoyuan, Taiwan 338. Lot Number F0010201; URL: <http://www.formosalab.com>)

### High performance liquid chromatography (HPLC)

Administered capsaicin doses are analyzed by high performance liquid chromatography (HPLC) using a Varian HPLC Star # 1 (ProStar/Dynamax Systems equipped with Solvent delivery Module 210, ProStar injector/controller; ProStar Fluorescence 363, and Autosampler 410). The mobile phase is 75% Methanol; 25% HPLC grade water. The columns are Inertsil 5u ODS-3 250  $\times$  4.6 mm, Part # A 0396-250x046 Lot # TQ5- 2107, Serial # 4J186141; column temperature is  $28^{\circ}\text{C}$ . Detector: Fluorescence: Excitation 281nm; Emission 312nm; flow rate of 1.2 l/minute for ten minutes. HPLC is set according to manufacture recommendations.

### Mixing capsaicin solutions

A PhD registered pharmacist mixes the pharmaceutical grade capsaicin stock solution with individual capsaicin doses provided via sterile conditions using a hood with exhaust ventilation. Powdered capsaicin (8-methyl-n-vanillyl-6-nonenamide) (30.5 g) is mixed with 10 ml 100% ethyl alcohol (USP), 10 ml Tween 80 and 80 mL 0.9% saline to constitute the stock solution with a final capsaicin concentration of 3.05 mg/ml or 0.01 Mol/liter. Subsequently, the stock solution is further diluted with physiologic saline solution to yield 12 serial doubling concentrations from 0.49 to 1,000 uMol/L. The final 12 diluted capsaicin concentrations are: 0.49, 0.98, 1.95, 3.9, 7.8, 15.6, 31.2, 62.5, 125, 250, 500, and 1000 uMol/L. Stock solutions and individual doses are first passed through a Millipore filter (steam sterile filter of 0.25 micron) to insure sterility. Random sample from each batch are also sent to a laboratory for culture. Each capsaicin dose is placed in an individual sterile vial and stored in a refrigerator, shielded from ultraviolet light at  $4^{\circ}\text{C}$ . The final series of mixed samples were organized as 40 sets of vials with each set containing 12 concentrations of Capsaicin mixed by the pharmacist over of the necessary range of concentrations (0.49-1000 uMol/L). All of the sets of vials were then transferred to the laboratory where the CICTs were conducted.

### Capsaicin quality control

After the sets of capsaicin solution vials are received from the pharmacist, further investigations are accomplished by HPLC analyses. Only pharmaceutical grade capsaicin is used for the human inhalation studies. Assurance of the accuracy of HPLC analyses of capsaicin solutions is accomplished by pre-calibrating the HPLC using fresh pharmaceutical grade capsaicin solutions. For the HPLC analyses, a stock solution is dispensed by dissolving 12.5 mg of pharmaceutical grade capsaicin in acetonitrile and diluting to 25 mL. The calibration standards were constructed by mixing a minimum of five different concentrations in acetonitrile. Pharmaceutical grade capsaicin stock

solution for HPLC is 0.5mg/mL (500 ug/mL) and there are five calibration working standards of 0.1, 0.5, 1.0, 3.0, and 6.0 ug/mL. Recovery of the Initial freshly mixed pharmaceutical grade calibration standard is found to be between 95% and 105% of the calculated value. To prepare the calibration standards, solutions are mixed in a 10 ml volumetric flask. The appropriate amount of capsaicin is added into the volumetric flask, which is filled to the bottom of the neck with acetonitrile. The volumetric flask is then brought up to volume and inverted five to ten times to insure that the standard is properly mixed. The calibration standard is then transferred to a vial with Teflon-lined screw cap for storage. Pharmaceutical grades capsaicin of ten microliter (uL) sample aliquots is injected into the HPLC via the autosampler. When the peak area is above the range of the working standards, it is diluted, reanalyzed and appropriate dilution factor are used in calculations. Linearity of calibration curve is obtained with  $r^2=0.9999$ . Before stored capsaicin solutions (obtained from the pharmacist) are analyzed, the calibration curve is verified with an initial calibration verification check standard (ICV) to see if the calibration curve is holding. There are essentially identical correlations when the solutions are re-tested during the duration of the study (3-months). Reference standard are between 95% and 105% of the calculated value. In order to test the adequacy of the pharmaceutical grade capsaicin, we performed identical studies using a second source of capsaicin that has been utilized by other investigators [6,7]. This second check capsaicin standard (CCV) standard is purchased from Sigma-Aldrich, Saint Louise, MO 63103 (Catalog Number 12084 - Brand: FLUKA). Recovery of the CCV is between 90% and 110% of the pharmaceutical grade capsaicin value. The CCV is verified after each ten field samples and at the end of the analytical sequence. When any CCV falls outside the acceptable limit then all samples and QC sample are rerun. Stock solution is stored, covered with aluminum foil, at 4°C for seven months.

### Capsaicin storage

There are 16 sets of capsaicin solutions received from the pharmacist, made up of different concentrations (0.49-1000 uMol), stored at 4°C and covered with aluminum foil to protect against ultraviolet light for up to 7 months. Periodic HPLC analyses of the samples are made over this time period. The capsaicin calibrating solutions are analyzed before measurements of the stored solutions using fresh pharmaceutical grade capsaicin solutions of the five working standards. Control samples are analyzed with each run of the calibrator and patient samples. In general, there is excellent reproducibility with all repeated analyses.

### Statistical analysis

The calibration data is analyzed statistically with means, standard errors of the mean and standard deviations. Student T-test is utilized to test differences between doses. The frequencies of the demographic characteristics and the median ages are determined for the young and the old groups. From the spirometric data, median FEV<sub>1</sub> (percentage of normal), median FEV<sub>6</sub> (percentage of normal), and median FEV<sub>1</sub>/FEV<sub>6</sub> are concluded. The E<sub>NO</sub> values are compared for the younger and older groups using the Wilcoxon Rank Sum test. Application of the Holm method is applied to limit the chances of Type I error introduced due to multiple testing.

## Results

### Study population

Table 1 conveys the Inclusion Criteria for admission to the study while Table 2 displays Baseline Value for various tests on 20 younger and 20 older male subjects. The baseline FEV<sub>1</sub> is higher for the younger group ( $p<0.01$ ) but all values are within normal predicted values for age. Older subjects show higher baseline E<sub>NO</sub> ( $p<0.006$ ), which is consistent with previous findings [14]. There is no significant change in E<sub>NO</sub> after completion of the CICT.

Measurement	Young (N=20)	Old (N=20)
Age, years,(Mean ± SD)	21.8 ± 2.4	68.3 ± 7.81
E <sub>NO</sub> , ppb (Mean ± SD)*	19.8 ± 8.0	35.0 ± 14.5*
Total Coughs (Mean ± SD)	12.0 ± 5.0	11.5 ± 5.1
Mean C <sub>2</sub> , uMol (Mean ± SD)	21.2 ± 11.3	23.4 ± 12.1
Mean C <sub>5</sub> , uMol (Mean ± SD)	90.6 ± 48.2	67.7 ± 27.1
F <sub>res</sub> , L.s <sup>-1</sup> (Mean ± SD)	11.2 ± 3.1	15.5 ± 3.6
R <sub>5</sub> , L.s <sup>-1</sup>	3.4 ± 1.0	3.7 ± 1.0
R <sub>20</sub> , L.s <sup>-1</sup>	3.1 ± 0.8	2.9 ± 0.64
FEV <sub>1</sub> , L	4.4 ± 0.6	3.1 ± 0.5**
Symptom Quest. Score	6.3 ± 0.5	6.3 ± 0.4

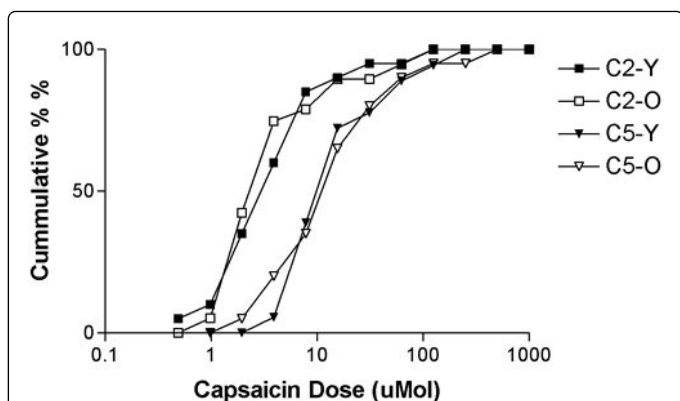
Table 2: Mean values of two populations. \* $p < 0.006$ , \*\* $p < 0.01$ .

### Capsaicin challenge testing

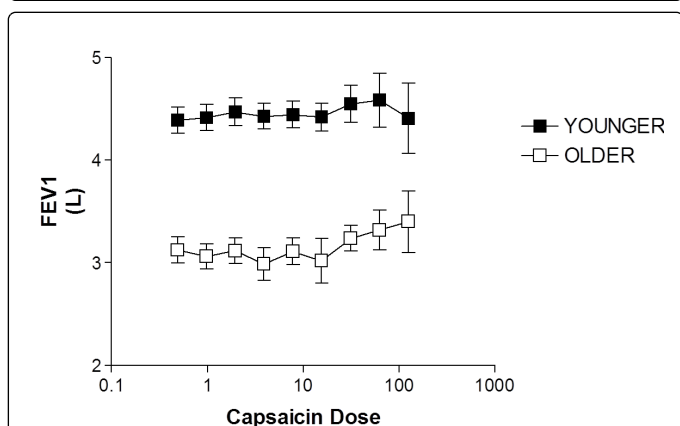
There are no statistically significant differences in total coughs and C<sub>2</sub> between young and older subjects Table 2 although older subjects show a higher mean C<sub>5</sub> than younger subjects (90.6 ± 48.2 vs. 67.7 ± 27.1). As shown in Figure 1, there are no significant differences in total coughs, C<sub>2</sub> and C<sub>5</sub> between the two groups. There are no occurrences of adverse symptoms or physiological responses in any subject at any capsaicin dose. No subject requires emergency treatment and no subject needs termination from the study because of persistent responses. Two subjects in the younger group and no subjects in the older group do not reach C<sub>5</sub> even at the highest dose of 1000 uMol. These latter two subjects experience no adverse symptoms or physiologic changes. There are no significant parameter changes during continuous measurement of pulse rate, blood pressure, electrocardiogram tracing and oxygen saturation. Auscultation of the chest is negative for wheezing and/or crackles in all subjects after every capsaicin dose. There are no statistically significant changes in FEV<sub>1</sub> for any subject at all doses as shown in Figure 2. However, FEV<sub>1</sub> values are uniformly higher for younger subjects as expected. Serial testing with IO values (R<sub>5</sub>, R<sub>20</sub>, F<sub>res</sub>) and SQ scores reveal no significant changes Figure 3.

### Delayed response

No subject develops a delay of symptoms by follow-up telephone confirmation 24 hours (1-day) and 120 hours (5-days) following the CICT challenge.



**Figure 1:** Comparison of Capsaicin dose-responses between younger (Y) and older (O) subjects. There is no significant difference between groups.

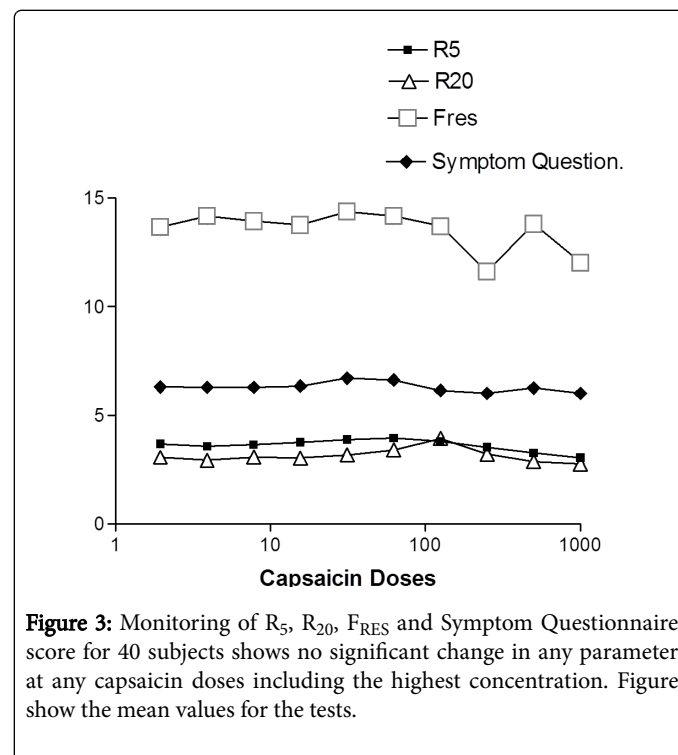


**Figure 2:** Comparison of FEV<sub>1</sub> for young and old subjects at different capsaicin doses. There were no significant changes in FEV<sub>1</sub> at any capsaicin dose including the highest capsaicin concentration (uMol).

### Capsaicin analyses

Table 3 displays mean, standard deviation, range, % predicted (mixed ÷ actual concentrations × 100) and coefficient of variation. Analysis of the 1000uMol/L capsaicin stock solution reveals a difference between the value mixed by the Registered Pharmacist and the determination of capsaicin concentration by HPLC. Only 97.4% of the 1000 uMol ÷ L capsaicin is dissolved in solution resulting in an HPLC-determined concentration of 976.8uMol/L (2.6% reduction). Additionally, the serial dilutions of 12 concentrations of the stock solution (administered to 36 subjects) also shows differences comparing the concentrations by HPLC to assumed/predicted dose of capsaicin after mixing by the Registered Pharmacist. The mean percentage HPLC measurement ÷ pharmacist calculation is 85.5%. Differences are greatest for the lower concentrations in comparison to the higher capsaicin dilutions. The percentage predicted ranges between 71.9% for the lowest concentration of 0.49 uMol and 97.8% for the 1000 uMol concentration. The difference is 28.1% less than expected for the 0.49 uMol concentration in comparison to a 2.2% lesser concentration for the 1000 uMol solution of capsaicin. There are

statistically significant differences for the first ten solutions (concentrations 0.49-250 uMol, p<0.03) while there is no statistically significant differences for the last two concentrations (500 and 1000 uMol). The % coefficient of variation (% CV) or relative standard deviation (% RSD) is a dimensionless number allowing comparison of the variation of measurements variation having significantly different mean values. The % CV demonstrates small differences except at the lowest concentration. The % CV is greatest (e.g., 5.42%) at the 0.49 uMol/L concentration and lowest (e.g., 2.01%) at the concentration of 1000 uMol/L.



**Figure 3:** Monitoring of R<sub>5</sub>, R<sub>20</sub>, F<sub>RES</sub> and Symptom Questionnaire score for 40 subjects shows no significant change in any parameter at any capsaicin doses including the highest concentration. Figure show the mean values for the tests.

### Capsaicin storage

For the first 3-months of storage, the capsaicin concentrations are relatively stable with no statistically significant difference in comparison to baseline (Table 4). The concentrations average 15% less than dose assumed by the Registered Pharmacist after mixing. There is greater capsaicin concentration reduction at six (p<0.03) and seven (p<0.004) months. At 7 months, the lowest concentration of 0.49 uMol decreases to 44.9% of predicted (a 14.3% fall from baseline). In contrast the higher concentrations appears to remain relatively stable for at least 7 months.

### Discussion

Several publications proclaim the clinical safety of CICT [15-18]. A rationale for the current investigation, focusing on age, is because there are still inconsistencies concerning the role of age on the human cough reflex [19,20]. The present study accomplishes CICT on normal younger and older volunteers employing strategies heightening volunteer safety [21]. First, there is the submission and acceptance of the research protocol by the Food and Drug Agency (FDA) and assurance by the Institutional Review Board (IRB). The investigation employs a more than 99% pure pharmaceutical grade capsaicin via an Investigational New Drug (IND 69,642) submission [21]. A Registered

Pharmacist mixes individual pharmaceutical grade capsaicin doses because purity and solubility are essential qualities for the capsaicin stock solution and are prerequisites for accurate dilute capsaicin doses [5,22-24]. Analyses of prepared capsaicin solutions are by high performance liquid chromatography (HPLC); other investigators employ ultraviolet absorption spectrophotometry to determine capsaicin concentrations [22]. Volunteers sign a consent form mentioning unfavorable study risks. During individual serial monitoring of the CICT, there is integration of the symptom questionnaire, spirometry, impulse oscillometry, exhaled breath Nitric Oxide, electrocardiography, blood pressure levels, pulse rate and percentage oxygen saturation. None of the afore mentioned parameters change during CICT.

Predicted	Mean ± SD	Range	%Predicted	%CV
0.49	0.35 ± 0.019	0.32 - 0.41	71.9	5.42
0.98	0.78 ± 0.025	0.74 - 0.83	79.2	3.17
1.95	1.64 ± 0.043	1.56 - 1.71	84.1	2.63
3.9	3.17 ± 0.109	2.96 - 3.46	81.4	3.4
7.8	6.34 ± 0.190	6.06 - 6.82	81.3	3
15.6	12.78 ± 0.35	12.16 - 13.59	81.8	2.76
31.2	26.34 ± 0.67	24.79 - 27.92	84.4	2.56
62.5	54.55 ± 1.67	51.61 - 57.99	87.3	3.07
125	111.40 ± 1.94	108.42 -115.50	89.1	1.74
250	228.85 ± 5.80	221.28 -239.47	91.5	2.53
500	471.24 ± 11.09	452.46 -504.10	94.2	2.35
1000	977.52 ± 19.71	943.83 -1012.48	97.8	2.01

**Table 3:** Capsaicin analyses comparing predicted value with actual amount of capsaicin as measured by Hplc. Values are reported in uMol/L; % Predicted=% predicted; % CV=% Coefficient of Variation.

M	0.49	0.98	1.98	3.9	7.8	15.6	31.2	62.5	125	250	500	1000
0	0.34	0.77	1.67	3.38	3.38	13.27	27.26	54.66	111.11	226.96	473.11	975.9
1	0.34	0.76	1.64	3.3	3.3	12.8	26.49	55.07	111.6	230.1	479	983.8
2	0.34	0.76	1.62	3.17	3.17	12.73	26.12	54.37	111.8	228	468.4	977.2
3	0.36	0.8	1.65	3.12	3.12	12.64	26.21	54.73	111	229.6	467.9	975.1
7	0.29	0.66	1.35	2.51	2.51	11.16	23.01	51.5	106.9	222.2	473.4	980.2
8	0.27	0.56	1.3	2.46	2.46	10.69	22.44	48.34	100.9	210.2	459.3	957.2

**Table 4:** Capsaicin solutions stored in a refrigerator and shielded from ultraviolet light. (M = month of storage); Values obtained by HPLC analyses. Original concentrations determined by registered pharmacist are reported in uMol/L (upper column). There is no significant difference for measurements at month 1 and 3 of storage. By month 6 and 7, substantial reductions are noted with reduction greatest for lower capsaicin concentrations.

If we make a dose-by-dose comparison of the Ternesten-Hasseus study with our own testing, we recognize a difference [13]. The comparison of a 2.0 uMol/L dose (breathing continuously for 6 minutes) with our 2 uMol dose (1 breath) will deliver roughly 1/500

Importantly, the investigation identifies issues needing further study. There is scrutinizing of dissimilarities between the concentrations of capsaicin solutions mixed by a Registered Pharmacist and the different determinations of capsaicin concentrations by HPLC measurements. The differences are principally for the lower concentrations in comparison to the higher capsaicin dilutions. These findings are in contrast to the studies reported by Kopec et al. Who observe lower concentrations of capsaicin to be relatively stable while higher concentrations display lesser values than predicted [23].

The precise capsaicin dosing concentrations for conducting the CICT is not fully established. Our dosing methodology, without adverse effects, involves aerosolizing single breath concentrations of capsaicin, ranging between 0.49 uMol to 1000 uMol as endorsed by Dicipinigaitis [6,7]. Vovk et al. determine that aerosolizing 200 uMol capsaicin is a suprathreshold concentration for eliciting the C<sub>5</sub> response in healthy participants [25].

Some investigators employ a much higher capsaicin dosing schedule than in use by the current researchers [13,26]. The investigators reduce the amount of aerosolized capsaicin in subsequent studies [27,28]. In the 2002 investigation, the protocol entails inhaling capsaicin aerosol, by tidal ventilation, for a total of 6 minutes to induce coughing followed by 4 minutes of rest [13]. The numbers of capsaicin-evoked coughs are counted for the entire 10 minutes from the onset of provocation [13]. When more than 70 coughs develop from a specific dose of capsaicin, provocation is terminated. The administration of specific concentrations include 0.40, 2.0 and 10 uMol/L. Typically, coughing is most intense during the first minutes of the challenge. Nineteen (50%) patients react with severe coughing (>70 coughs) after the 6-minute inhalation of the first dose of 0.4 uMol/L. There is provocation of five patients (>70 coughs) after the second dose of capsaicin (2.0 uMol/L), and provocation occurs in 14 volunteers after the highest dose (10 uMol/L). In contrast, all normal (i.e., control subjects without disease) are able to inhale all three levels of capsaicin without developing more than 70 coughs.

capsaicin. Furthermore, our endpoint of 5 coughs vs. 70 coughs is approximately 1/14 less cough outcome. Our more safe testing procedure requires a single breath per dose and the dosing began at an

extremely low concentration; there is an endpoint of 5 coughs with concentrations ranging between 0.49 and 1,000 uMol/L.

We cannot explain why our capsaicin solutions become unstable after 6 months while the study of Kopec et al. report capsaicin stability lasting for 1 year [23]. Kopec's study values are the opposite of ours; the lower concentrations of capsaicin are relatively stable while the higher capsaicin concentrations display lower values than the predicted. Perhaps, the reason for the departure is because solutions containing more capsaicin tend to aggregate into a more stable complex. Kopec et al. hypothesize that the discrepancies in capsaicin measurements may be due to the mixing with 100% ethyl alcohol and 0.9% saline, which makes the solution unstable. Moreover, more saline is used as dilution progress and perhaps causes capsaicin precipitation out of solution. More dilution with saline means relatively less ethyl alcohol and Tween 80, a requirement for dissolving capsaicin and leading to capsaicin precipitation out of solution.

Our investigative protocol, attempting accurate measurements of concentration mixtures, better verifying chemical purity and solubility and inclusion of strict provisions ensuring volunteer safety may act as a template for other inhalation investigations. Likely, future investigations will embrace protocols incorporating the inhalation of different medications and/or industrial chemicals [29-33]. Scrutiny is

necessary to insure human safety as more and more medications and chemicals are being administered by the inhalation route, including drugs and chemicals produced as nanoparticles [31-33]. Investigations will be driven by innovative technology and novel research concepts while some studies will involve research dealing with ethical issues [34]. Not all research protocols are equally ethical and there is a need to provide appropriate protection for all research participants [35].

An imperative human safety deliberation is for specific inhalation challenge (SIC) testing using occupational agents, such as toluene diisocyanates or organic dusts. This practice, while readily available in Canada and Europe, is limited in the United States. Purportedly, human inhalational studies using occupational agents do not require FDA approval or protocols such as used in this investigation. However, the FDA and IRBs are becoming more rigorous in their requirements for subject safety and more stringent protocols will be required in the future. We suggest that the investigative approach of the current investigation can apply to drug/chemical inhalation studies where subject safety is in question Table 5. We believe that the use of occupational agents to perform specific inhalation challenges can be conducted safely when inhalation testing follows the appropriate safety procedures such as in this investigation or perhaps investigations espoused by others [36].

1	Document purity of raw chemical/drug by use of pharmaceutical grade or other criteria
2	May require FDA IND application
3	Approval by institutional review board (IRB)
4	Include mixing of chemical/drug by registered pharmacist before use
5	Document purity and sterility of prepared chemical/drug mixture by pharmacist (e.g., HPLC, other approaches)
6	Accurate documentation of dosage administered to subject (HPLC, etc.)
7	Strict inclusion and exclusion criteria of tested subjects
8	Accurate description of risks in the consent form
9	Serial monitoring using lung function testing.
10	Inclusion of monitoring for systemic responses (BP, pulse rate, O <sub>2</sub> saturation, etc.) during dosing or inhalation and, if appropriate, use other indicators of lung injury (exhaled breath parameters, induced sputum, blood tests, etc.)
11	Monitor for delayed effects

**Table 5:** Guidelines for human research involving drugs/chemicals not approved for inhalation use.

## Conclusions

The human cough reflex is one of the most important mechanisms in protecting the respiratory tract from aspiration of foreign substances, fluid, microbial agents or objects. In addition, it is the primary means of clearing aspirated materials and endogenously produced mucus from the respiratory tract.

Our data holds several implications for the performance of capsaicin challenges. The findings of the current investigation suggest other studies likely have overestimated the actual concentrations of their capsaicin solutions, thus calling into question both the accuracy and the reproducibility of the data. There is the need to insure human subject safety during research investigations employing inhalation of medications or chemicals fostered by new technological approaches or novel research concepts [31-33]. Such investigations can be safely

conducted when they follow the appropriate safety procedures such as described in this investigation Table 5. Specifically, for investigators performing CICT, inhalation of dilute capsaicin aerosols is relatively safe. The capsaicin inhalation effect is innocuous and short lived.

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